

## Lecture 3: Course Introduction

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### 3.1 Convex Hulls in 2D

#### 3.1.1 Where we left off...

At the end of the previous lecture, we looked at two algorithms for computing the convex hull of a set of points in 2D. The first was the Graham's Scan, which runs in  $O(n \log n)$  time. The second was the gift-wrapping algorithm, which runs in  $O(nh)$  for output size  $h$ . The gift-wrapping algorithm is better if  $h < \log n$ , intuitively if most of the points lie within the confines of the convex hull.

We want to improve the gift-wrapping algorithm to  $O(n \log h)$ , which is provably optimal.

#### 3.1.2 The (original) gift-wrapping algorithm

Given a set of points,

```
Starting with the bottom-most point and a horizontal line...
While the convex hull isn't closed off
    Rotate the line anchored at the point counter-clockwise until you hit another point
    Add the segment between the current anchor and the new point to the hull
    Make the new point the anchor.
end while
```

Note that, given the anchor point and another point  $p$  from  $S$ , iff  $p$  is the correct next point, all remaining points of  $S$  are on the same side of the line from the anchor to  $p$ .

#### 3.1.3 How we really "rotate the line"

Given  $q$  the old anchor,  $p$  the new anchor, and  $z \in S - \{p, q\}$  arbitrarily picked:

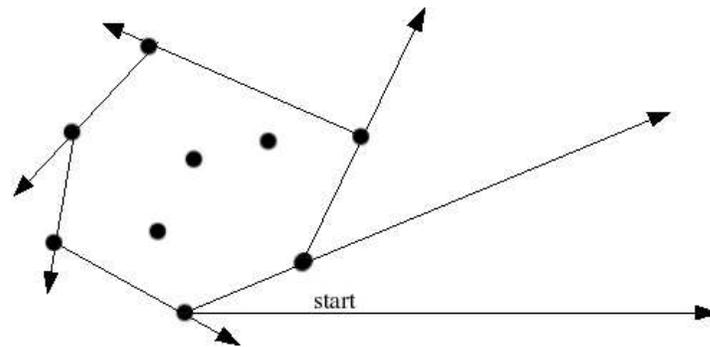


Figure 3.1: giftwrapping in 2D

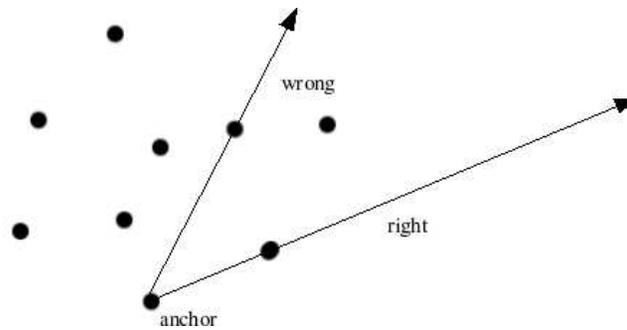


Figure 3.2: all the points in  $S$  will be on the same side of the line through the anchor and the correct next point.

```

 $\forall w \in S - \{p, q, z\}$ 
  if  $w$  and  $q$  lie on the opposite side of line  $pz$ 
     $z = w$ 
  end if
end  $\forall$ 
return  $z$ 

```

That takes  $O(n)$  time. We'd like it to take  $O(\frac{n}{h} \log n)$  time instead.

### 3.1.4 O/P-sensitive algorithm

Assume you know  $h$ .

Partition  $S$  into  $\lceil n/h \rceil$  subsets  $s_1, s_2, \dots, s_{\lceil n/h \rceil}$  where  $|s_i| \leq h$ .

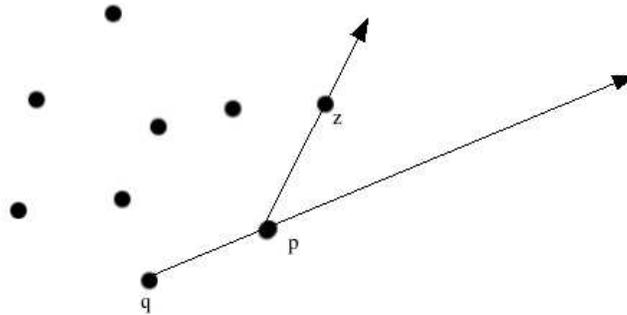


Figure 3.3: p, q, and z

For all  $i$ , compute  $P_i = \text{Conv}(s_i)$  using Graham's Scan.

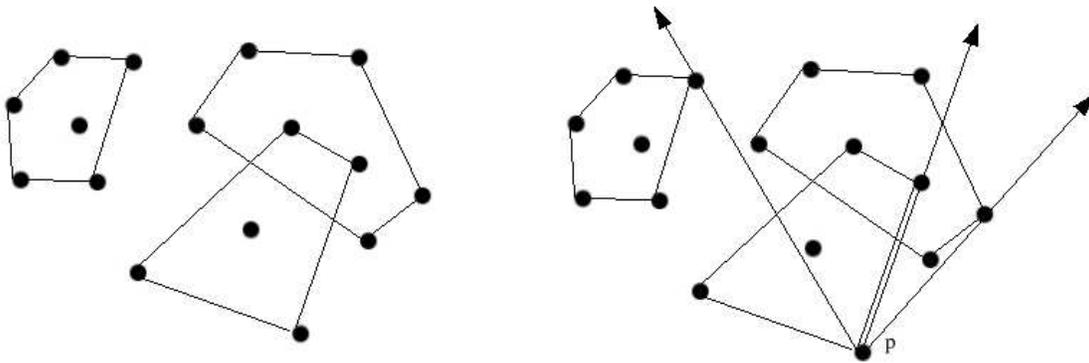


Figure 3.4: Step 1: Divide into subsets and get their convex hulls. Step 2: Calculate tangents to each hull from the anchor p.

Starting with the bottom-most point as your anchor, find the (first, counter-clockwise) tangent lines from that point to each  $P_i$ . The points on each hull that correspond to these will be  $\{t_1, t_2, \dots\}$  (For the hull that contains the anchor, use the next point around the hull.) The next point in  $\text{Conv}(S)$  is one of these. These  $t_i$  can be calculated in  $O(\log h)$  time, and there are  $\lceil n/h \rceil$  of them.

This changes the algorithm to this (where  $l$  is the line from  $q$  to  $p$ , and  $q$  was the previous anchor):

```

FIRSTPOINT( $p, l, S$ )
  Preprocessing: Find all the  $t_i$ 
   $\forall w \in \{t_1, \dots\}$ 
    if  $w$  and  $q$  lie on the opposite side of line  $pz$ 
       $z = w$ 
    end if
  end  $\forall$ 
  return  $z$ 
end

```

```

MAIN
  start with the bottom-most point  $p_0$  and the next one along the convex hull,  $p$ 
  while  $p \neq p_0$  again
     $q = \text{FIRSTPOINT}(p, l, S)$ 
     $CH = CH \circ q$ 
     $p = q$ 
  end while
  return  $CH$ 
end

```

Time is  $O(n \log h)$ .

### 3.1.5 How do we know h?

```

guess a small  $h_1$ 
run the algorithm
if  $h_i < \text{the real } h$ 
   $h_{i+1} = h_i^2$  and repeat

```

Note that the runtime doubles between  $O(n \log h_i)$  and  $O(n \log h_{i+1}) = O(n \log(h_i^2)) = O(2*n \log h_i)$ . For  $k$  iterations, you have  $n \log h_1 + n \log h_2 + \dots + n \log h_k =$  a geometric series  $= O(n \log h_k) = O(n \log h)$ , and  $h_i = 2^{2^i}$ .

## 3.2 Convex hulls in higher dimensions

Given  $S$ : set of points in  $\mathbb{R}^d$  (and using notation  $h^+$  : halfspaces)

$$\text{conv}(s) = \bigcap_{h^+ \supseteq S} h^+$$

$$\Downarrow$$

$$\text{convspan}(s) = \{x \in \mathbb{R}^d \mid x = \sum_{i=1}^n \lambda_i p_i, \sum_{i=1}^n \lambda_i = 1, 0 \leq \lambda_i \leq 1\}$$

$P$  is the convex hull of  $S$ . It is a convex polytope. A hyperplane  $h$  supports  $P$  if  $P \cap h \neq \emptyset$  and  $p \subseteq h^+$  (one of the two halfspaces defined by  $h$ )

$f = P \cap h$  is a face of  $P$ .  $f$  is the convex hull of  $(S \cap h)$ .

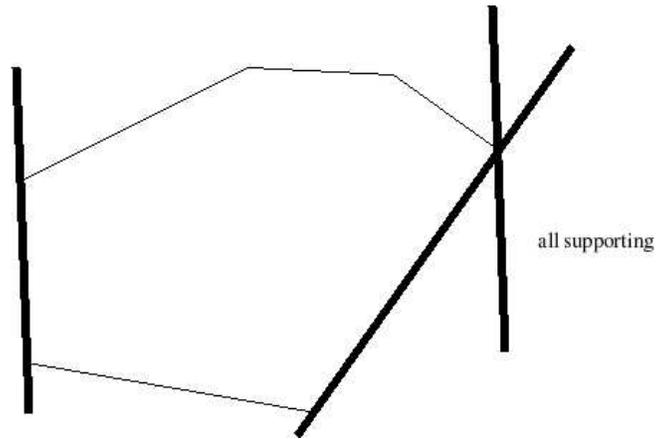


Figure 3.5: supporting hyperplanes in 2D

Faces have dimensions.

vertex: Face of dimension 0

edge: Face of dimension 1

A  $d$ -dimension convex hull has faces of dimensions 0 to  $d-1$ .

facet: Face of dimension  $d-1$

ridge: Face of dimension  $d-2$

Each ridge connects two facets.

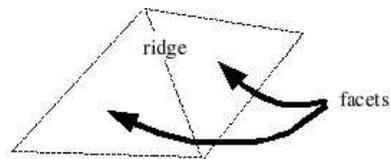


Figure 3.6: two facets and a ridge of a convex hull in 3D

### 3.2.1 properties of faces

$h, g \subseteq h$  are faces of  $P \Rightarrow g$  is a face of  $h$ .

if  $g, h$  are faces of  $P$ ,  $g \cap h$  is also a face of  $P$ .

(For consistency and so we don't need lots of special cases, let's let  $\emptyset$  be a face of everything, with dimension -1, and  $P$  be a  $d$ -dimension face of  $P$ )

### 3.2.2 How to represent

Define a face-graph of  $P : F(P)$  with the nodes being all the faces.

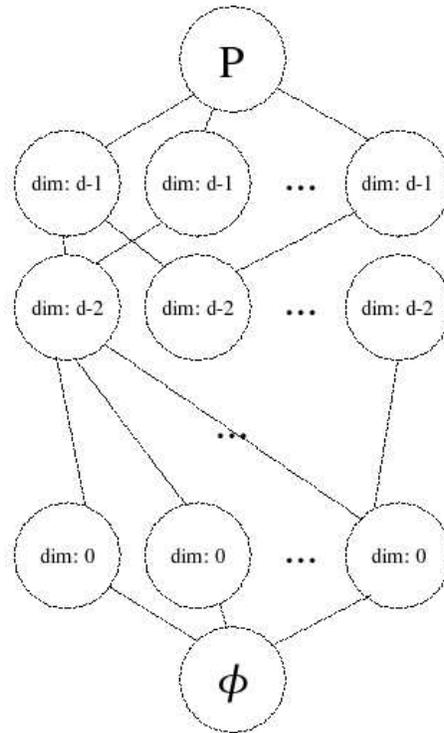


Figure 3.7: A face-graph  $F(P)$ . Each level contains all the faces of that dimension.

A face is defined by its vertices.

### 3.2.3 two algorithms for computing a convex hull

**but first! Upper bound Thm:**

A convex polytope with  $n$  vertices has  $O(n^{\lfloor d/2 \rfloor})$  faces. For  $d = 2, 3$ , linear. For  $d = 4, 5$ , quadratic! This is a tight bound, with examples (such as the cyclic polytope).

### 3.2.4 Higher dimension gift-wrapping

For a ridge, there are 2 facets.

Given a ridge and a facet attached to it, find the other facet.

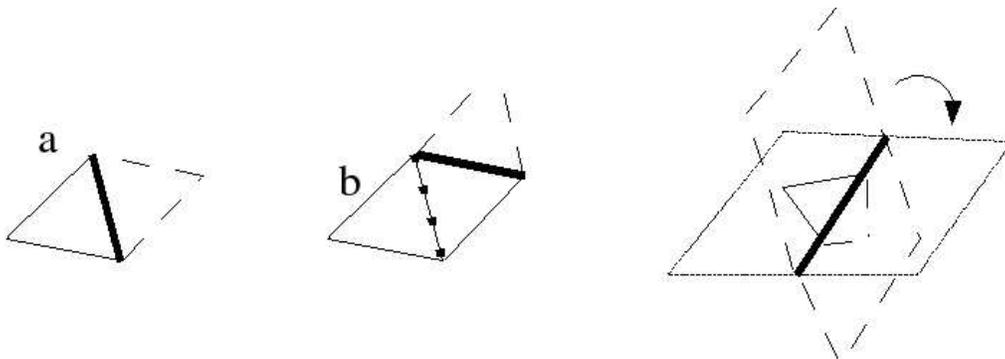


Figure 3.8: Gift-wrapping in 3D: In a, you have a found facet and a ridge to search from. In b, that ridge's other facet's been found, and now you search from a new ridge. New facets are found by rotating hyperplanes anchored at ridges.

Each ridge is a convex hull of  $d-1$  points, and each facet is a convex hull of  $d$  points.

### 3.2.5 $d$ -simplexes

A  $d$ -simplex is a convex hull of  $d+1$  affinely independent points. A 0-simplex is a point. A 1-simplex is a line segment. A 2-simplex is a triangle. A 3-simplex is tetrahedron. Faces of simplexes are simplexes.

If the points in  $S$  are affinely independent, every ridge is a  $d-2$ -simplex, and every facet is a  $d-1$ -simplex.

### 3.2.6 (back to) Higher dimension gift-wrapping

Ridges can be represented as  $(p_1, \dots, p_{d-1}) = g$  and facets adjacent to them as  $(g, c)$ .

To calculate the convex hull, then,

```

Q =  $\emptyset$  =queue of open ridges
f: find some facet of conv(S)
f = (p1, ...pd)
for i = 1 to d
    insert ((f - {pi}), pi) into Q
while Q not empty
    (g, c) =delete(Q)
    (g, b) = find - other - facet((g, c), S)
    for all other ridges on the new facet
        if they're in Q, delete them
        else, insert into Q
end

```

This runs in  $O(nh)$  time, and can be fiddled with to run in  $O(h^2 + h \log n)$  time.