

# XQuery

CPS 116  
Introduction to Database Systems

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## Announcements (October 19)

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- ❖ Homework #3 assigned today
  - Due on October 31
- ❖ Project milestone #1 feedbacks available by the end of today, or tomorrow at the latest

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## XQuery

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- ❖ XPath + full-fledged SQL-like query language
- ❖ XQuery expressions can be
  - XPath expressions
  - FLWOR (⌘) expressions
  - Quantified expressions
  - Aggregation, sorting, and more...
- ❖ An XQuery expression in general can return a new result XML document
  - Compare with an XPath expression, which always returns a sequence of nodes from the input document or atomic values (boolean, number, string, etc.)

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## A simple XQuery based on XPath

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Find all books with price lower than \$50

```
<result>
{
  doc("bib.xml")/bibliography/book[@price<50]
}
</result>
```

- ❖ Things outside {}'s are copied to output verbatim
- ❖ Things inside {}'s are evaluated and replaced by the results
  - doc("bib.xml") specifies the document to query
    - Can be omitted if there is a default context document
  - The XPath expression returns a sequence of book elements
  - These elements (including all their descendants) are copied to output

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## FLWR expressions

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- ❖ Retrieve the titles of books published before 2000, together with their publisher

```
<result>{
  for $b in doc("bib.xml")/bibliography/book
  let $p := $b/publisher
  where $b/year < 2000
  return
  <book>
  { $b/title }
  { $p }
  </book>
}</result>
```

- ❖ for: loop
  - \$b ranges over the result sequence, getting one item at a time
- ❖ let: assignment
  - \$p gets the entire result of \$b/publisher (possibly many nodes)
- ❖ where: filter condition
- ❖ return: result structuring
  - Invoked in the "innermost loop," i.e., once for each successful binding of all query variables that satisfies where

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## An equivalent formulation

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- ❖ Retrieve the titles of books published before 2000, together with their publisher

```
<result>{
  for $b in doc("bib.xml")/bibliography/book[year<2000]
  return
  <book>
  { $b/title }
  { $b/publisher }
  </book>
}</result>
```

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## Another formulation

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- ❖ Retrieve the titles of books published before 2000, together with their publisher

```
<result>{
  for $b in doc("bib.xml")/bibliography/book,
    $p in $b/publisher
  where $b/year < 2000
  return
    <book>
      { $b/title }
      { $p }
    </book>
}</result>
```

❖ Is this query equivalent to the previous two?

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## Yet another formulation

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- ❖ Retrieve the titles of books published before 2000, together with their publisher

```
<result>{
  let $b := doc("bib.xml")/bibliography/book
  where $b/year < 2000
  return
    <book>
      { $b/title }
      { $b/publisher }
    </book>
}</result>
```

❖ Is this query correct?

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## Subqueries in return

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- ❖ Extract book titles and their authors; make title an attribute and rename author to writer

```
<bibliography>{
  for $b in doc("bib.xml")/bibliography/book
  return
    <book title="{normalize-space($b/title)}">{
      for $a in $b/author
      return <writer>{string($a)}</writer>
    }</book>
}</bibliography>
```

What happens if we replace it with \$a?

- ❖ `normalize-space(string)` removes leading and trailing spaces from string, and replaces all internal sequences of white spaces with one white space

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## An explicit join

- ❖ Find pairs of books that have common author(s)

```
<result>{
  for $b1 in doc("bib.xml")//book
  for $b2 in doc("bib.xml")//book
  where $b1/author = $b2/author ← These are string comparisons,
    and $b1/title > $b2/title      not identity comparisons!
  return
  <pair>
    {$b1/title}
    {$b2/title}
  </pair>
}</result>
```

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## Existentially quantified expressions

(some  $\$var$  in *collection* satisfies *condition*)

- Can be used in **where** as a condition

- ❖ Find titles of books in which XML is mentioned in some section

```
<result>{
  for $b in doc("bib.xml")//book
  where (some $section in $b//section satisfies
    contains(string($section), "XML"))
  return $b/title
}</result>
```

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## Universally quantified expressions

(every  $\$var$  in *collection* satisfies *condition*)

- Can be used in **where** as a condition

- ❖ Find titles of books in which XML is mentioned in every section

```
<result>{
  for $b in doc("bib.xml")//book
  where (every $section in $b//section satisfies
    contains(string($section), "XML"))
  return $b/title
}</result>
```

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## Aggregation

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- ❖ List each publisher and the average prices of all its books

```
<result>{
  for $pub in distinct-values(doc("bib.xml")//publisher)
  let $price :=
  avg(doc("bib.xml")//book[publisher=$pub]/@price)
  return
  <publisherpricing>
  <publisher>{$pub}</publisher>
  <avgprice>{$price}</avgprice>
</publisherpricing>
}</result>
```

- `distinct-values(collection)` removes duplicates by value
  - If the collection consists of elements (with no explicitly declared types), they are first converted to strings representing their "normalized contents"
- `avg(collection)` computes the average of *collection* (assuming each item in *collection* can be converted to a numeric value)

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## Sorting (a brief history)

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- ❖ XPath always returns a sequence of nodes in original document order
- ❖ `for` loop will respect the ordering in the sequence
- ❖ August 2002 (<http://www.w3.org/TR/2002/WD-xquery-20020816/>)
  - Introduce an operator `sort by` (*sort-by-expression-list*) to output results in a user-specified order
  - Example: list all books with price higher than \$100, in order by first author; for books with the same first author, order by title

```
<result>{
  doc("bib.xml")//book[@price>100]
  sort by (author[1], title)
}</result>
```

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## Tricky semantics

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- ❖ List titles of all books, sorted by their prices

```
<result>{
  (doc("bib.xml")//book sort by (@price))/title
}</result>
```

- What is wrong?
  - A path expression always returns a sequence of nodes in document order!
- Correct versions

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## Current version of sorting

As of June 2006

- ❖ `sort` by has been ditched
- ❖ Add a new `order by` clause in FLWR (which now becomes FLWOR)
- ❖ Example: list all books with price higher than \$100, in order by first author; for books with the same first author, order by title

```
<result>{
  for $b in doc("bib.xml")//book[@price>100]
  stable order by $b/author[1], $b/title empty least
  return $b
}</result>
```

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## Summary

- ❖ Many, many more features not covered in class
- ❖ XPath is fairly mature and stable
  - 1.0 is already a W3C recommendation
    - Implemented in many systems
    - Used in many other standards
  - 2.0 is being developed jointly with XQuery
- ❖ XQuery is still evolving
  - Still a W3C “candidate” recommendation
  - Many vendors are coming out with implementations
  - Poised to become the SQL for XML

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## XQuery vs. SQL

- ❖ Where did the join go?
- ❖ Is navigational query going to destroy physical data independence?
- ❖ Strong ordering constraint
  - Can be overridden by `unordered { for... }`
  - Why does that matter?

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