CompSci 201, L26: Disjoint Sets

Logistics, Coming up

- Optional APT 11: Not required, will give APT makeup credit if you do it
  - Makeup credit: Will grade it, add that to apt grade if missing points there.
  - “Due” (for makeup credit) Wednesday 11/30 with grace/late
- Midterm Exam 3 next Monday 12/5
- Project 6: Due next Wednesday 12/7

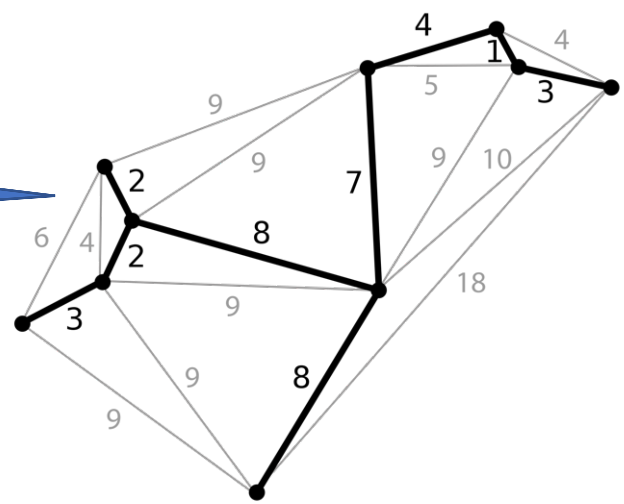
Midterm Exam 3

- Logistics:
  - 60 minutes, in-person, short answer
  - Can bring 1 reference/notes page
- Major Topics:
  - Trees:
    - binary search trees,
    - binary heaps,
    - recursion
    - Red-black trees: Properties yes, rebalance algorithm, no.
  - Graphs:
    - Recursive & Iterative Stack DFS
    - Iterative Queue BFS
    - Weighted graphs, Dijkstra's algorithm

Minimum Spanning Tree (MST) Problem

- Given N nodes and M edges, each with a weight/cost…
- Find a set of edges that connect *all* the nodes with minimum total cost. (will be a tree)

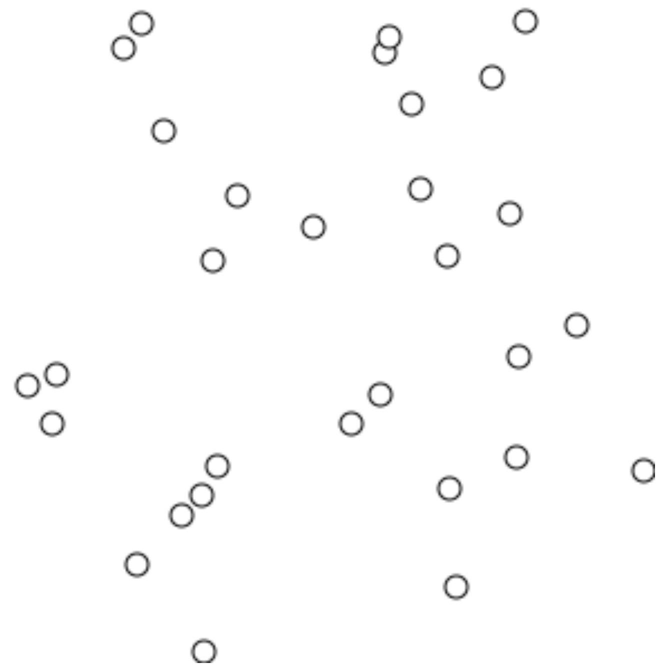
Weighted undirected graph with:
- Edges labeled with weights/costs
- Minimum spanning tree highlighted



Visualizing Kruskal's Algorithm

In the visualization:

- Edges between all pairs of vertices
- Weights are implicit by distances
- Algorithm greedily grows by cheapest edge that connects disjoint sets/trees.



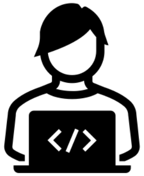
Kruskal's Algorithm in *Pseudocode*

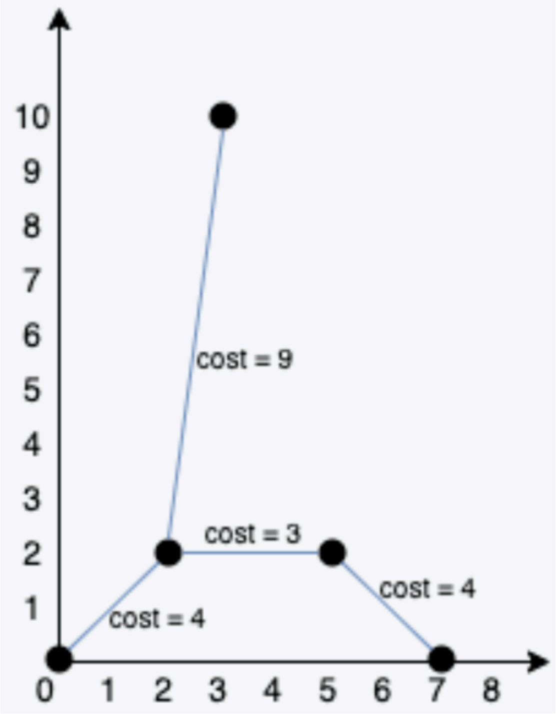
Input: N node, M edges, M edge weights

- Let MST to an empty set
- Let S be a collection of N **disjoint sets**, one per node
- While S has more than 1 set:
  - Let (u, v) be the minimum cost remaining edge
  - **Find** which sets u and v are in. If not equal:
    - **Union** the sets
    - Add (u, v) to MST
- Return MST

Solving Example MST Problem

leetcode.com/problems/min-cost-to-connect-all-points

Live Coding 



WOTO

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Not graded for correctness, just participation.

Try to answer *without* looking back at slides and notes.

But do talk to your neighbors!

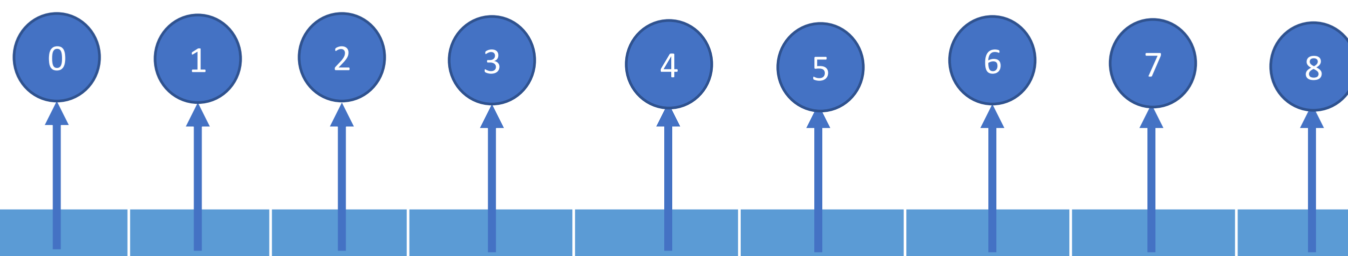
Disjoint Sets and Union-Find

Union Find Data Structure

- Aka Disjoint Set Data Structure
- Start with N distinct (disjoint) sets
  - consider them labeled by integers: 0, 1, …
- ***Union*** two sets: create set containing both
  - label with one of the numbers
- ***Find*** the set containing a number
  - Initially self, but changes after unions

Disjoint-Set Forest Implementation

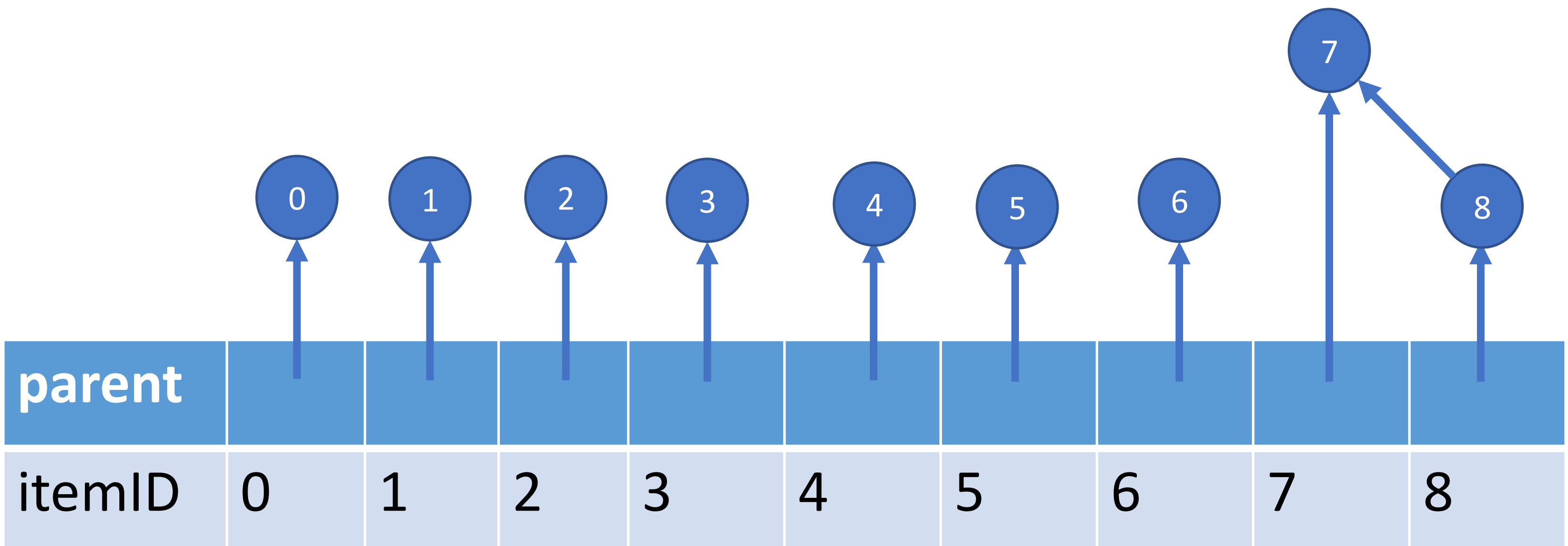
- Each set will be represented by a parent "tree": Instead of child pointers, nodes have a parent "pointer".
- Everything starts as its own tree: a single node



parent									
itemID	0	1	2	3	4	5	6	7	8

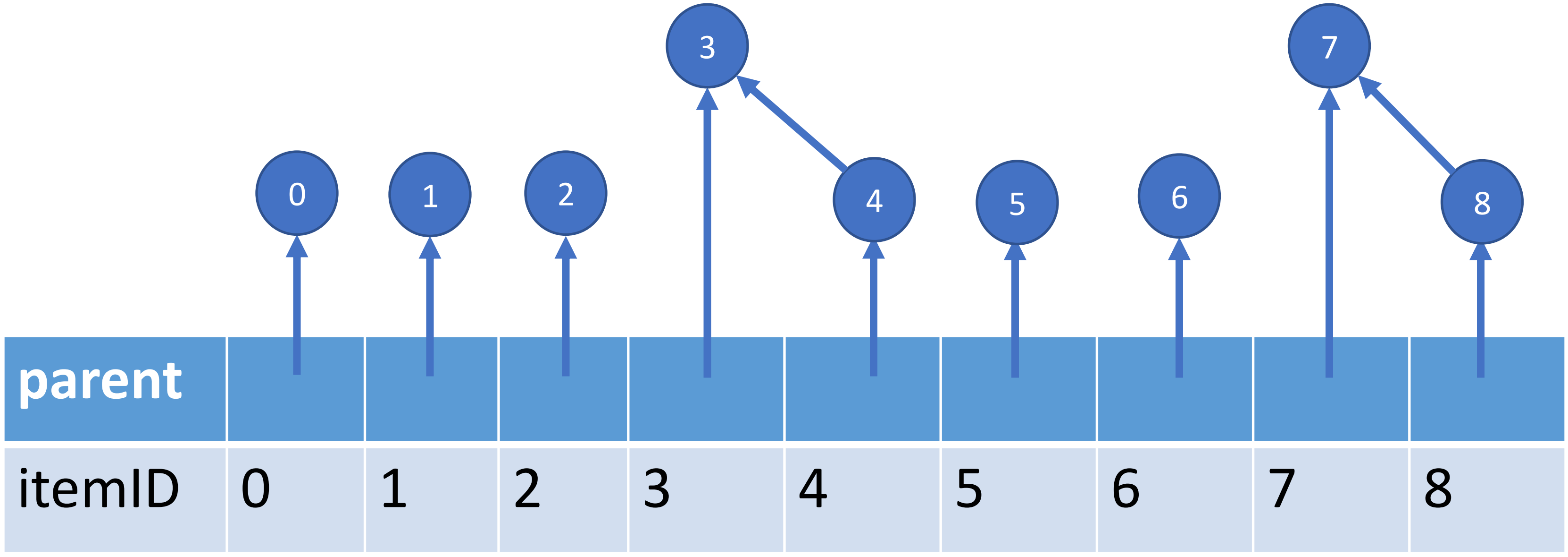
Disjoint-Set Forest Union

- Union(7,8)
- Just make leaf/root point to parent[7]



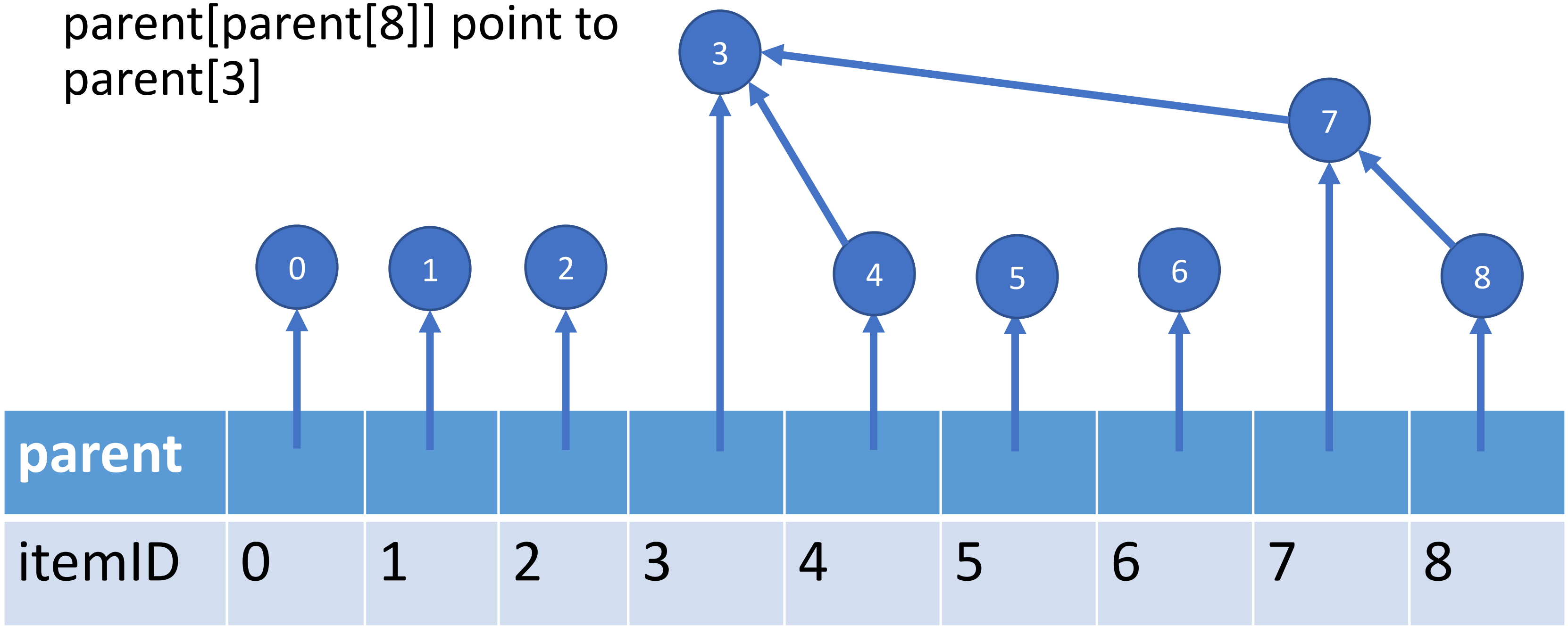
Disjoint-Set Forest Union

- Union(3,4)
- Just make parent[4] point to parent[3]



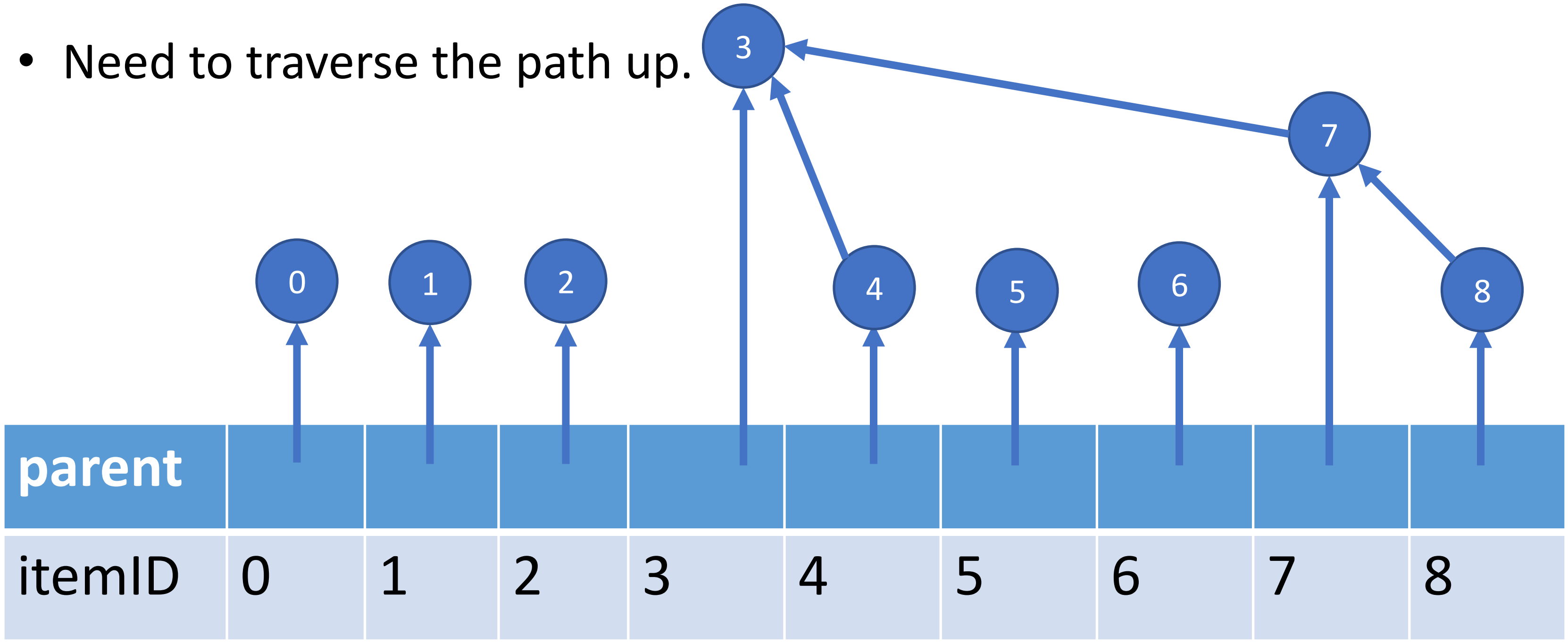
Disjoint-Set Forest Union

- Union(3,8)
- Multi-level, make parent[parent[8]] point to parent[3]



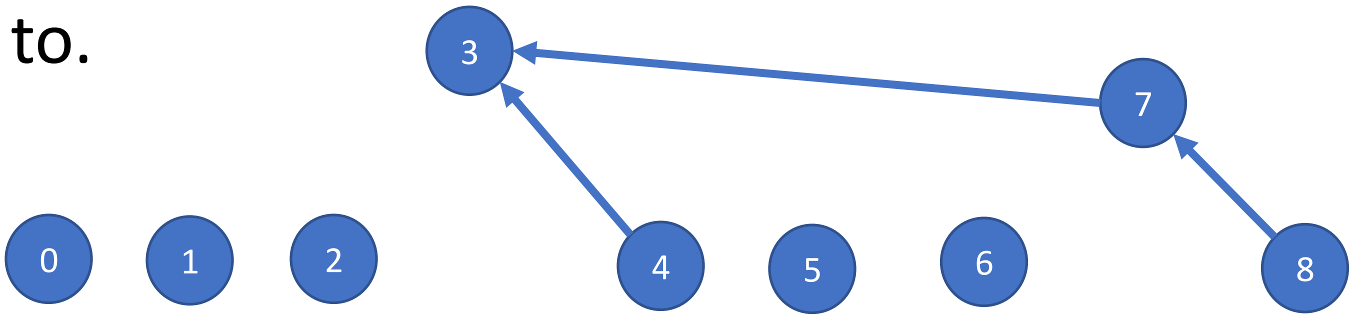
Disjoint-Set Forest Find

- Find(8)
- Return last ancestor of 8.
- Need to traverse the path up.



Disjoint-Set Forest Array Representation

- The "nodes" and "pointers" are just conceptual – can represent with a simple array, like binary heap.
- Parent array just stores what the itemID node points to.



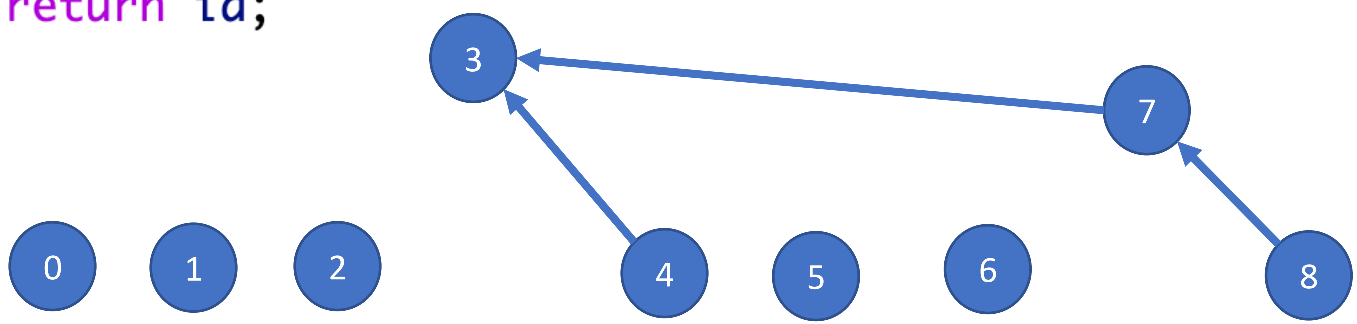
parent	0	1	2	3	3	5	6	3	7
itemID	0	1	2	3	4	5	6	7	8

Disjoint-Set Forest Find

```java
public int find(int id) {
    while (id != parent[id]) {
        id = parent[id];
    }
    return id;
}
```

"last ancestor" is just when parent[i] = i

Else go to next "node up"



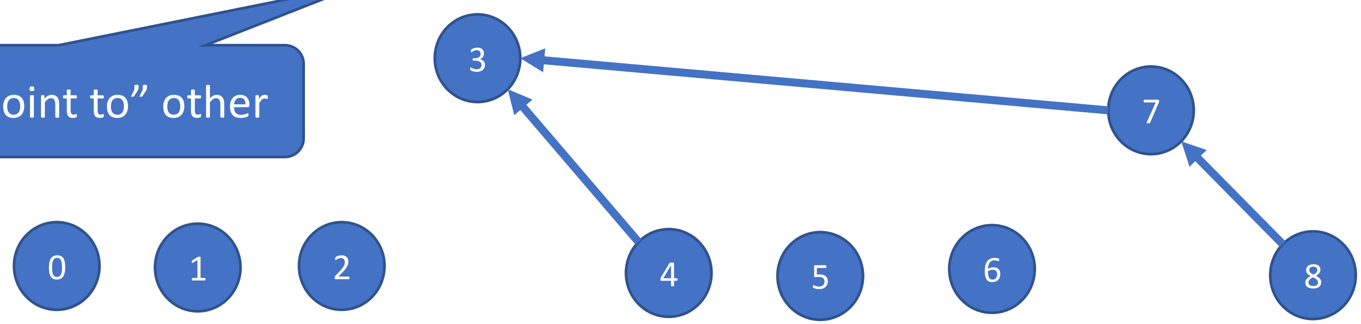
parent	**0**	**1**	**2**	**3**	**3**	**5**	**6**	**3**	**7**
itemID	0	1	2	3	4	5	6	7	8

Disjoint-Set Forest Union Revisited

```
public void union(int set1, int set2) {
    int root1 = find(set1);
    int root2 = find(set2);
    parent[root2] = root1;
```

"last ancestors" from initial set1 and initial set2 "nodes"

Make one "point to" other



parent	0	1	2	3	3	5	6	3	7
itemID	0	1	2	3	4	5	6	7	8

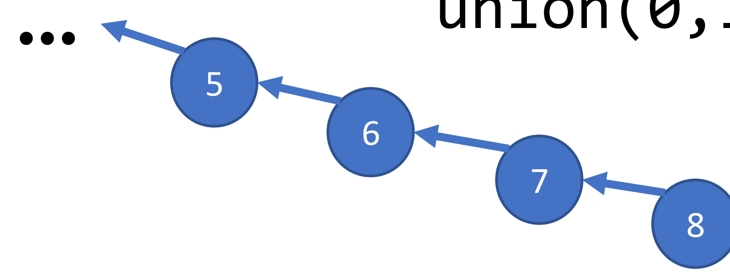
Worst-Case Runtime Complexity?

```java
public void union(int set1, int set2) {
    int root1 = find(set1);
    int root2 = find(set2);
    parent[root2] = root1;
```

What if we…

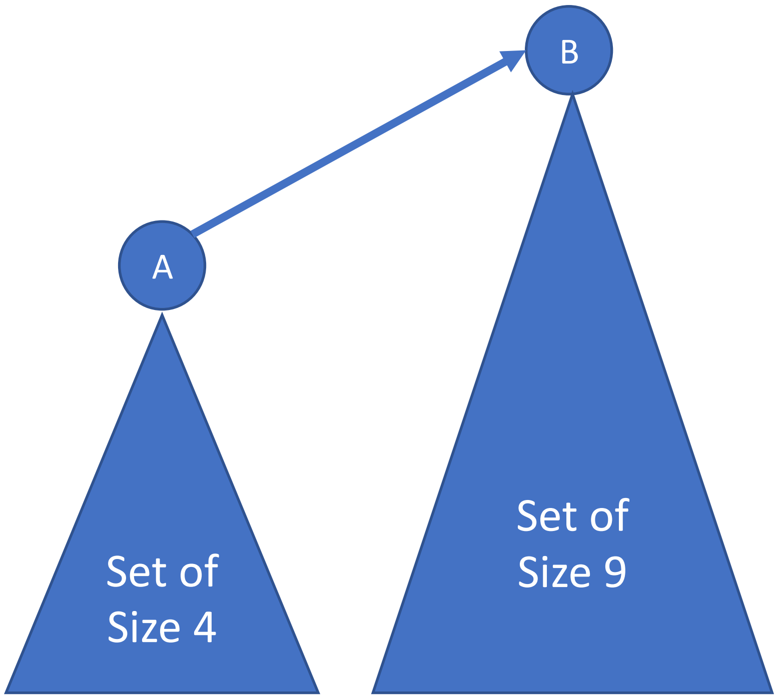
```
union(7,8)
union(6,7)
union(5,6)
…
union(0,1)
```

Now `find(8)` would have linear runtime complexity!!



parent	0	0	1	2	3	4	5	6	7
itemID	0	1	2	3	4	5	6	7	8

Optimization 1: Union by Size



Be careful in how you union. Always make the "root" for the set with *fewer* elements point to the "root" for the set with *more* elements.

Sufficient for worst case logarithmic efficiency.

Optimization 1: Union by Size

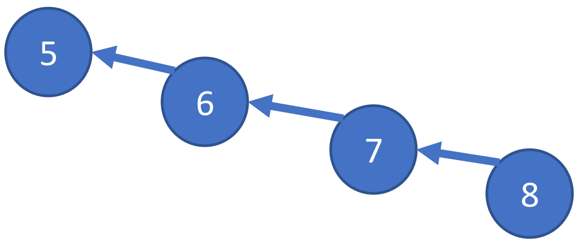
```java
public void union(int set1, int set2) {
    int root1 = find(set1);
    int root2 = find(set2);
    if (root1 == root2) { return; }
    if (setSizes[root1] < setSizes[root2]) {
        parent[root1] = root2;
        setSizes[root2] += setSizes[root1];
    }
    else {
        parent[root2] = root1;
        setSizes[root1] += setSizes[root2];
    }
    size--;
}
```

If already in same set, nothing to do.

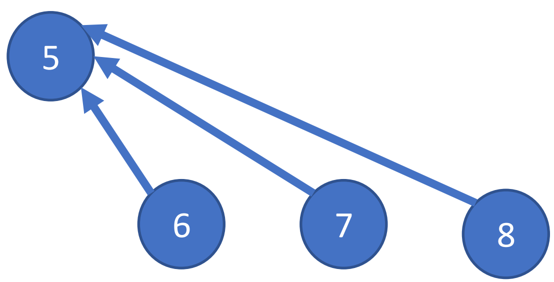
Make the smaller set “point to” the bigger set.

Lazy Path Compression

- **Lazy path compression**: When ever you traverse a path in `find`, connect all the pointers to the top.
- Sufficient for **amortized logarithmic** runtime complexity for union/find operations.



`find(5)`



Disjoint Set Forest Path Compression

```
public int find(int id) {
    int idCopy = id;
    while (id != parent[id]) {
        id = parent[id];
    }
    int root = id;
    id = idCopy;
    while(id != parent[id]) {
        parent[idCopy] = root;
        id = parent[id];
        idCopy = id;
    }
    return id;
}
```

Get the "last ancestor" as before

Traverse path again, assigning everything to the "last ancestor"

Optimized Runtime Complexity

- Optimizations considered separately:
  - Union by size: Worst case logarithmic
  - Path compression: Amortized logarithmic

- Considered together…?
  - Worst case logarithmic, and *amortized inverse Ackermann function a(n)*.
  - $a(n) < 5$ for $n < 2^{2^{2^{2^{16}}}} = 2^{2^{2^{65536}}}$
  - Practically constant for any n you can write down

Remember Kruskal's Algorithm Runtime?

Input: N node, M edges, M edge weights

- Let MST to an empty set
- Let S be a collection of N **disjoint sets**, one per node
- While S has more than 1 set:
  - Let (u, v) be the minimum cost remaining edge
  - **Find** which sets u and v are in. If not equal:
    - **Union** the sets
    - Add (u, v) to MST
- Return MST

Looping over (worst case) all M edges

Remove from binary heap, O(log(M))

O(M(log(M)~~+C~~) because C < log(M) for our optimized union find

WOTO

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