

## Announcements:

- This is a math course with systems applications. Prereq: CompSci 201, CompSci 230 or equiv.
- Course web page:  
[www.cs.duke.edu/courses/fall24/compsci334](http://www.cs.duke.edu/courses/fall24/compsci334)  
Familiarize yourself with all parts of the web page.
- Flipped class - reading/quizzes **BEFORE**
- Read Chapter 1 in the Linz/Rodger book for next time.
- Complete the reading quizzes on Canvas before class.  
(Due to Drop/add, QZ01-QZ05 turn off Sept 10, 11:45am!!)
- Course bulletin board: Ed Discussion (get to from Canvas)

- **Course participation required!**

# What will we do in Compsci 334?

## Questions

- Can you write a program to determine if a string is an integer?

9998.89

8abab

789342

*yes*

- Can you do this if your machine had no additional memory other than the program? (can't store any values and look at them again)

*yes*

- Can you write a program to determine if the following are correct arithmetic expressions?

$$((34 + 7 * (18/6)))$$


$$(((((((a + b) + c) * d(e + f))))))$$

- Can you do this if your machine had no additional memory other than the program?

NO

- Can you write a program to determine the value of the following expression?

$$((34 + 7 * (18/6)))$$

yes

- Can you write a program to determine if a file is a valid Java program?

yes, what a compiler  
does?  
or interpreter

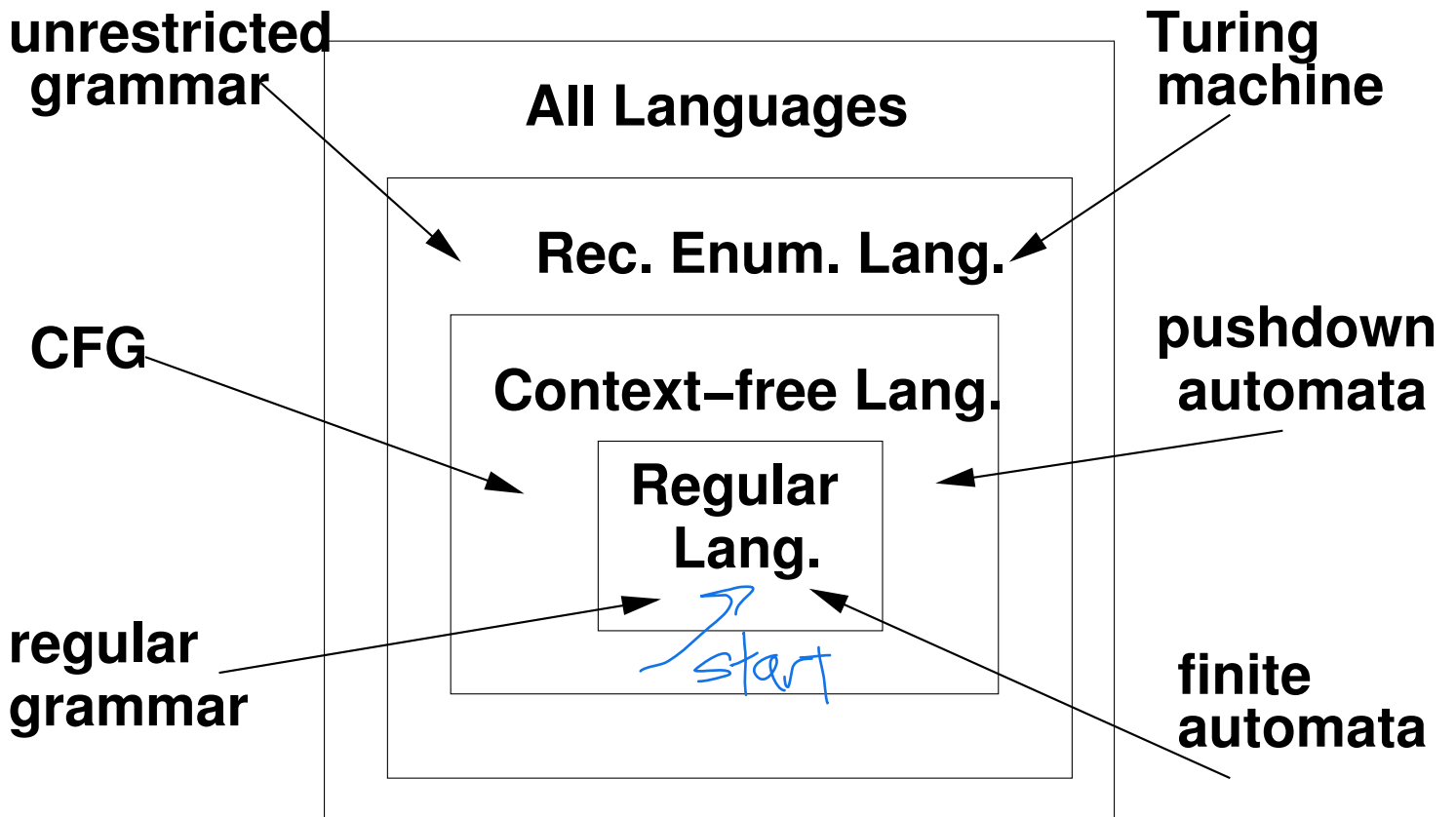
- Can you write a program to determine if a Java program given as input will ever halt?

no, hard!

# Language Hierarchy

## Grammars

## Automata



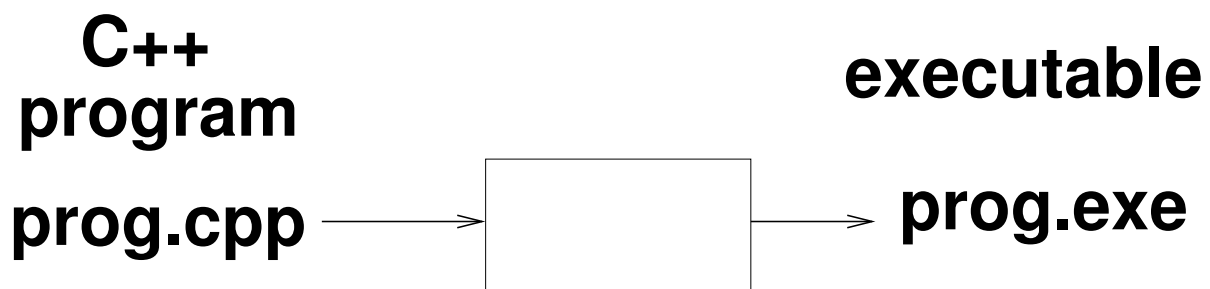
# Power of Machines

automata	Can do?	Can't do?
FA (no memory)	recognize integer	recognize arith expr
PDA (stack)	recognize arith expr	compute arith expr
TM (infinite) memory	compute arith expr	decide if prog will halt

# Application

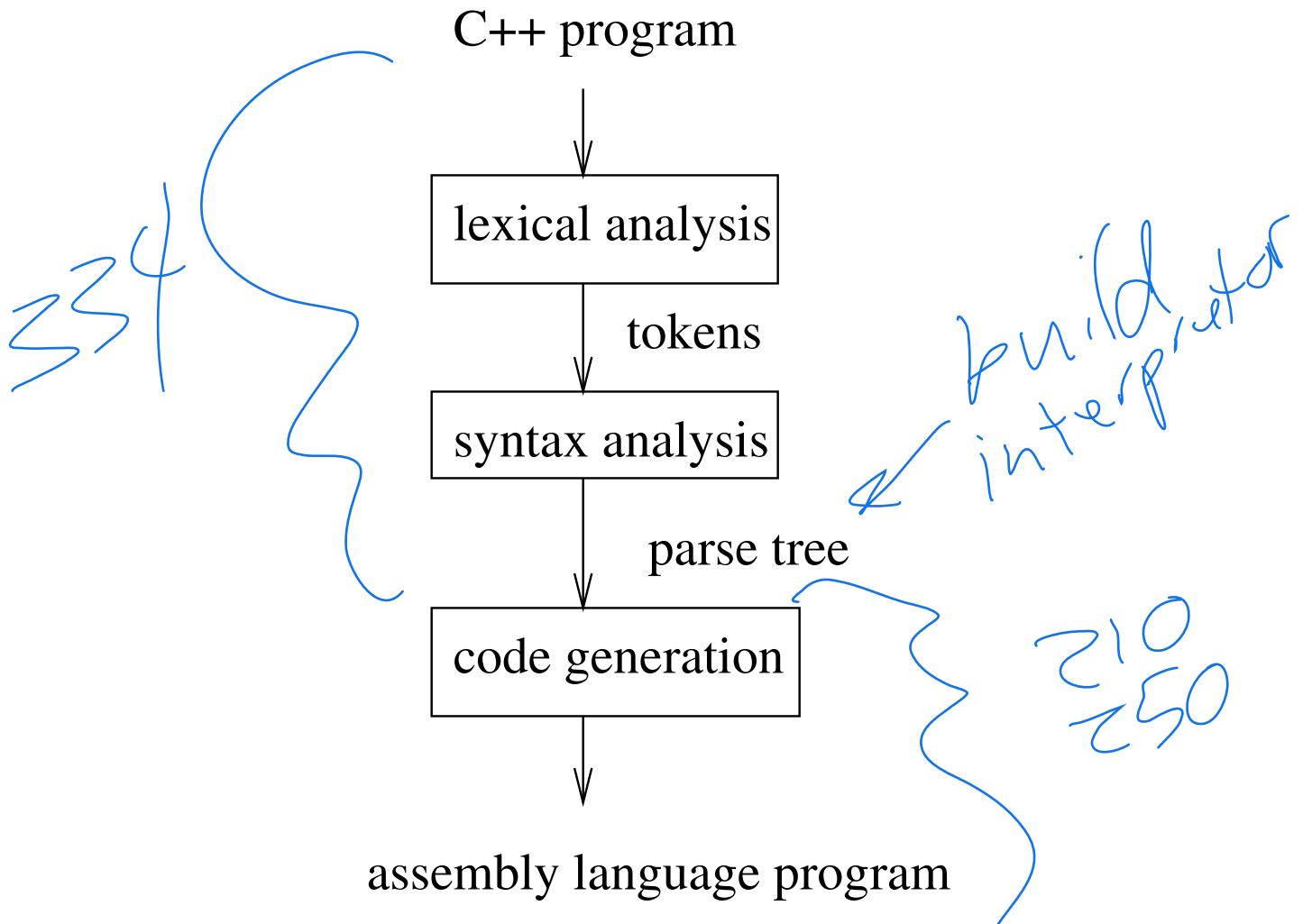
## Compiler

- Our focus - Question: Given a program in some language (say Java or C++) - is it valid?
- Question: language L, program P - is P valid?
- Other things to consider, how is the compilation process different for different programming languages? (Java vs C++?)

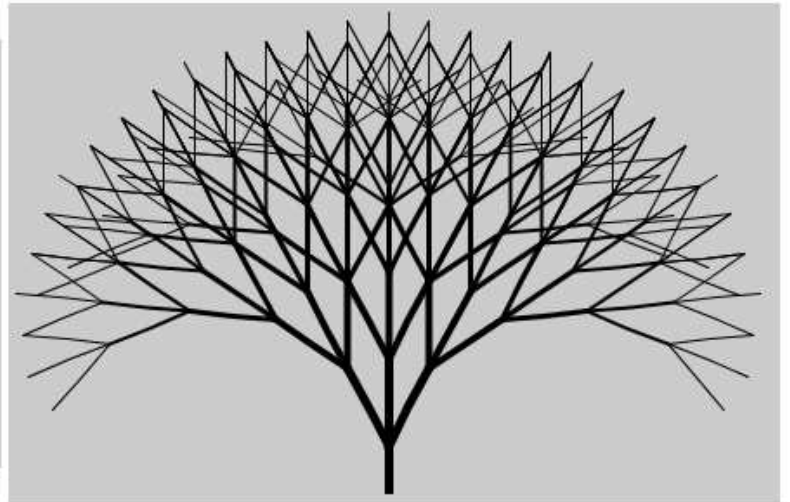
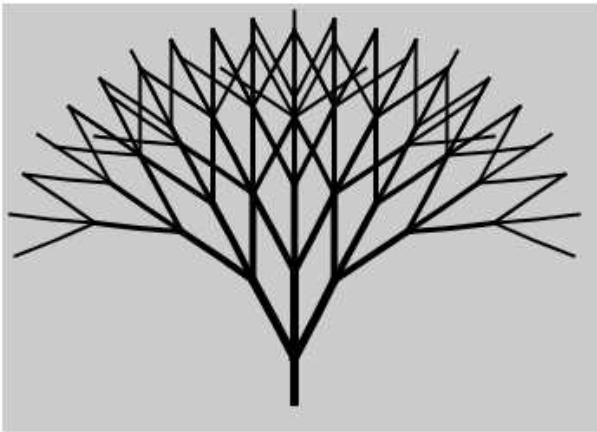
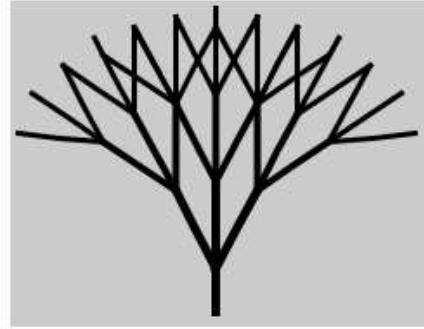


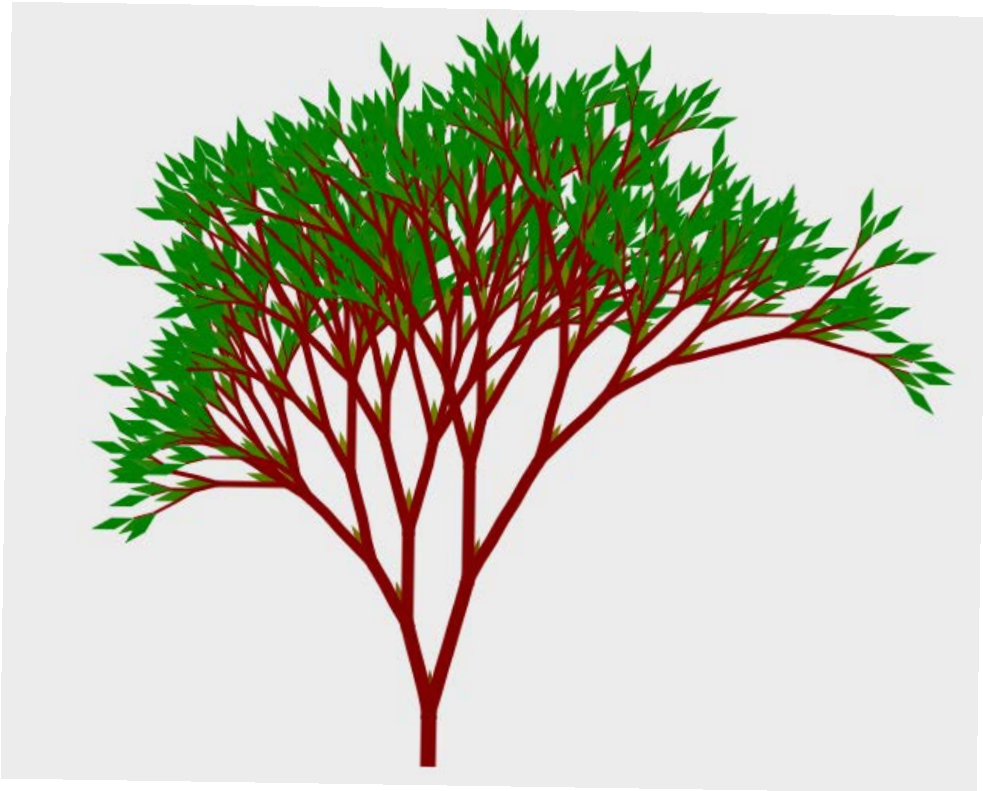
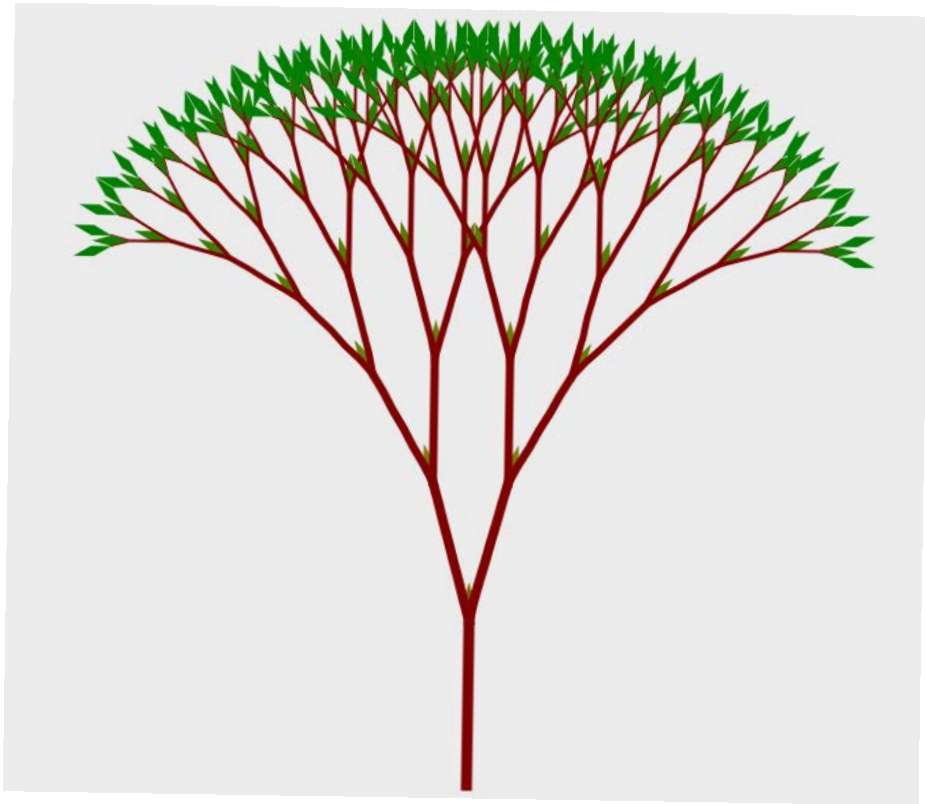


# Stages of a Compiler



# L-Systems - Model the Growth of Plants





# Chapter 1 - Set Theory

A Set is a collection of elements.

$$A = \{1, 4, 6, 8\}, B = \{2, 4, 8\},$$

$$C = \{3, 6, 9, 12, \dots\}, D = \{4, 8, 12, 16, \dots\}$$

- (union)  $A \cup B = \{1, 2, 4, 6, 8\}$
- (intersection)  $A \cap B = \{4, 8\}$
- $C \cap D = \{12, 24, \dots\}$  or  $\{12 * x \mid x > 0\}$
- (member of)  $42 \in C?$  *yes*
- (subset)  $B \subset C?$  *no*
- $B \cap A \subseteq D?$  *yes*
- $|B| = 3$
- (product)  $A \times B = \{(1, 2), (1, 4), (1, 8), (4, 4), (4, 8), \dots, (8, 8)\}$  *12 tuples*
- $|A \times B| = 12$
- $\emptyset \in B \cap C?$  *NO*  $B \cap C = \{\}$
- (powerset)  $2^B =$

$$2^B = \{ \emptyset, \{2\}, \{4\}, \{8\}, \{2, 4\}, \{2, 8\}, \{4, 8\}, \{2, 4, 8\} \}$$

*nothing in there*

**Example** What are all the subsets of  $\{3, 5\}$ ?

$\{\emptyset, \{3\}, \{5\}, \{3, 5\}\}$

**How many subsets does a set  $S$  have?**

$ S $	number of subsets
0	1
1	2
2	4
3	8
4	16

How do you prove? Set  $S$  has  $2^{|S|}$  subsets.

Technique: Proof by Induction

1. Basis:  $P(1)$ ?

2. I.H.

Assume  $P(n)$  is true for  $1, 2, \dots, n$

3. I.S.

Show  $P(n+1)$  is true (using I.H.)



Set  $S$  has  $2^{|S|}$  subsets.

**Proof:**

1. **Basis:**  $|S|=0$  has 1 element  
 $2^0 = 1$  checked

2. **I.H. Assume**  $2^{|S|}$  is equal to the  
number of subsets in  $S$  for all  
 $|S| \leq n$

3. **I.S. Show** for  $|S| = n+1$  that  
there are  $2^{n+1}$  subsets

Take one element out of  $S$

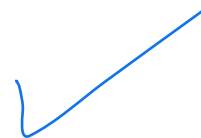
$$S = T \cup \{a\}$$

by I.H.  $T$  has  $2^n$  subsets

$S$  has all the subsets in  $T$  plus  
a copy of each subset with a

OR  $2 * \text{number of subsets } T \text{ has}$

$$2 * 2^n = 2^{n+1}$$



## Ch. 1: 3 Major Concepts

- languages
- grammars
- automata



# Languages

- $\Sigma$  - set of symbols, alphabet
- string - finite sequence of symbols
- language - set of strings defined over  $\Sigma$

alphabet  $\Sigma$

## Examples

- $\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$   
 $L = \{0, 1, 2, \dots, 12, 13, 14, \dots\}$

- $\Sigma = \{a, b, c\}$   
 $L = \{ab, ac, cabb\}$

- $\Sigma = \{a, b\}$   
 $L = \{a^n b^n \mid n > 0\} = \{ab, aabb, a^3 b^3, \dots\}$   
 $\{ (a^n)^n \}$  kind of like that

Stopped here

## Notation

- symbols in alphabet: a, b, c, d, ...
- string names: u, v, w, ...

## Definition of concatenation

Let  $w = a_1 a_2 \dots a_n$  and  $v = b_1 b_2 \dots b_m$

Then  $w \circ v$  OR  $wv = a_1 a_2 \dots a_n b_1 b_2 \dots b_m$

# String Operations

strings:  $w=abbc$ ,  $v=ab$ ,  $u=c$

- size of string

$$|w| + |v| = 6$$

- concatenation

$$v^3 = vvv = v \circ v \circ v = ababab$$

- $v^0 = \lambda$

- $w^R = cbb a$

- $|vv^R w| = 8$

- $ab \circ \lambda = ab$

## Definition

$\Sigma^*$  concatenate 0 or more

## Example

$$\Sigma = \{a, b\}$$

$$\Sigma^* = \{\epsilon, a, b, aa, ab, ba, bb, \dots\}$$

$$\Sigma^+ = \Sigma^* - \{\epsilon\}$$

## Examples

$$\Sigma = \{a, b, c\}, L_1 = \{ab, bc, aba\},$$

$$L_2 = \{c, bc, bcc\}$$

$$\bullet L_1 \cup L_2 = \{ab, bc, aba, c, bcc\}$$

$$\bullet L_1 \cap L_2 = \{bc\}$$

$$\bullet \overline{L_1} = \Sigma^* - \{L_1\}$$

$$\bullet \overline{L_1 \cap L_2} = \Sigma^* - \{bc\}$$

$$\bullet L_1 \circ L_2 = \{xy \mid x \in L_1 \text{ and } y \in L_2\} =$$

$$\{abc, abbc, \dots, ababcc\}$$

9 strings

## Definition

$$L^0 = \{\lambda\}$$

$$L^2 = L \circ L$$

$$L^3 = L \circ L \circ L$$

$$L^* = L^0 \cup L^1 \cup L^2 \cup L^3 \dots$$

$$L^+ = L^1 \cup L^2 \cup L^3 \dots$$

# Grammars

## Grammar for english

Starting point

$\langle \text{sentence} \rangle \rightarrow$   
 $\langle \text{subject} \rangle \langle \text{verb} \rangle \langle \text{d.o.} \rangle$

variable  
can be replaced

$\langle \text{subject} \rangle \rightarrow \langle \text{noun} \rangle$  |  $\langle \text{article} \rangle \langle \text{noun} \rangle$  OR

$\langle \text{verb} \rangle \rightarrow \text{hit} \mid \text{ran} \mid \text{ate}$

$\langle \text{d.o.} \rangle \rightarrow \langle \text{article} \rangle \langle \text{noun} \rangle \mid \langle \text{noun} \rangle$

$\langle \text{noun} \rangle \rightarrow \text{Fritz} \mid \text{ball}$

$\langle \text{article} \rangle \rightarrow \text{the} \mid \text{an} \mid \text{a}$

## Examples (derive a sentence)

Fritz hit the ball.

<sentence> -> <subject><verb><d.o>  
-> <noun><verb><d.o>  
-> Fritz <verb><d.o.>  
-> Fritz hit <d.o.>  
-> Fritz hit <article><noun>  
-> Fritz hit the <noun>  
-> Fritz hit the ball

Can we also derive the sentences?

The ball hit Fritz.

The ball ate the ball

Syntactically correct?

Semantically correct?



## Grammar

$G=(V,T,S,P)$  where

- $V$  - variables (or nonterminals)
- $T$  - terminals
- $S$  - start variable ( $S \in V$ )
- $P$  - productions (rules)  
 $x \rightarrow y \quad x \in (V \cup T)^+, y \in (V \cup T)^*$

*can replace*

## Definition

$w \Rightarrow z$   $w$  derives  $z$

$w \xRightarrow{*} z$  derives in 0 or more steps

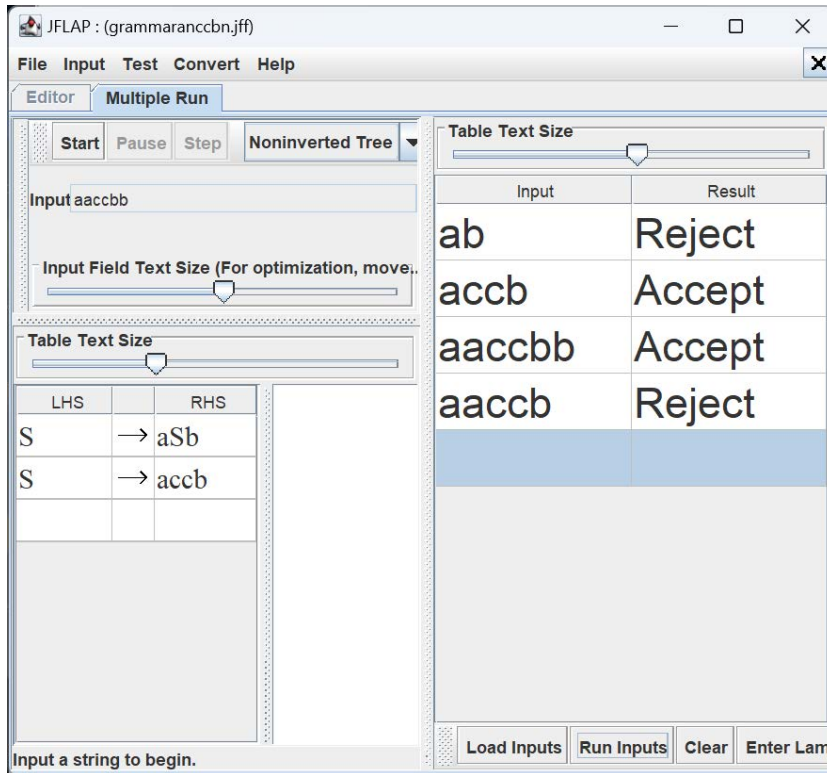
$w \xRightarrow{+} z$  derives in 1 or more steps

Definition of Language of a grammar -  
 $L(G)$

$G=(V,T,S,P)$

$L(G)=\{w \in T^* \mid S \xRightarrow{*} w\}$

This figure goes with the next grammar



## Example

$$G = (\{S\}, \{a, b\}, S, P)$$

$$P = \{S \rightarrow aaS, S \rightarrow b\}$$

$$L(G) = \{b, aab, aaaaab, \dots\}$$
$$= \{(aa)^n b \mid n \geq 0\}$$

## Example

$$L(G) = \{a^n ccb^n \mid n > 0\}$$

$$G = S \Rightarrow aSb \mid accb$$

## Example

$$G = (\{S\}, \{a, b\}, S, P)$$

$$P = \{S \rightarrow aSb, S \rightarrow SS, S \rightarrow ab\}$$

Which of these strings

*aabb*, *abab*, *abba*, *babab* can be generated

by this grammar? Show the derivations.

$L(G) = \{w \in \Sigma^* \mid \text{number of } a\text{'s equal number of } b\text{'s and for every } b \text{ there is a matching } a \text{ to the right}\}$

# Automata

## Abstract model of a digital computer

