

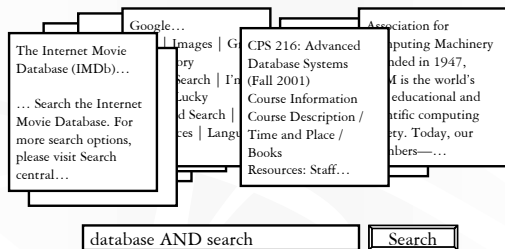
Indexing: Part IV

CPS 216
Advanced Database Systems

Announcements

- ❖ Homework #2 due in two days (February 26)
 - Typo corrected in Problem 5
 - You may work in groups of three, but then you must complete the optional part of either 8(c) or 8(d)
- ❖ Midterm next Monday (March 3)
 - Everything up to (including) today's lecture
 - Open-book, open-notes
- ❖ Course project proposal due in 9 days (March 5)
 - By email to junyang@cs.duke.edu
- ❖ Reading assignment
 - Two papers on cache-sensitive indexing, by Rao and Ross, *VLDB* 1999 and *SIGMOD* 2000

Keyword search



What are the documents containing both “database” and “search”?

Keywords × documents

All keywords

	Document 1	Document 2	Document 3	Document n
“a”	1	1	1	...
“cat”	1	1	0	...
“database”	0	0	1	...
“dog”	0	1	0	...
“search”	0	0	1	...
...

1 means keyword appears in the document
0 means otherwise

- ❖ Inverted lists: store the matrix by rows
 - ❖ Signature files: store the matrix by columns
- With compression, of course!

Inverted lists

- ❖ Store the matrix by rows
- ❖ For each keyword, store an inverted list
 - $\langle \text{keyword}, \text{doc-id-list} \rangle$
 - $\langle \text{“database”}, \{3, 7, 142, 857, \dots\} \rangle$
 - $\langle \text{“search”}, \{3, 9, 192, 512, \dots\} \rangle$
 - It helps to sort *doc-id-list* (why?)
- ❖ Vocabulary index on keywords
 - B⁺-tree or hash-based
- ❖ How large is an inverted list index?

Using inverted lists

- ❖ Documents containing “database”
 - Use the vocabulary index to find the inverted list for “database”
 - Return documents in the inverted list
- ❖ Documents containing “database” AND “search”
 - Return documents in the intersection of the two inverted lists
- ❖ OR? NOT?
 - Union and difference, respectively

What are “all” the keywords?

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- ❖ All sequences of letters (up to a given length)?
 - ... that actually appear in documents!
- ❖ All words in English?
- ❖ Plus all phrases?
 - Alternative: approximate phrase search by proximity
- ❖ Minus all stop words
 - They appear in nearly every document; not useful in search
 - Example: a, of, the, it
- ❖ Combine words with common stems
 - They can be treated as the same for the purpose of search
 - Example: database, databases

Frequency and proximity

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- ❖ Frequency
 - $\langle \text{keyword}, \{ \langle \text{doc-id}, \text{number-of-occurrences} \rangle, \langle \text{doc-id}, \text{number-of-occurrences} \rangle, \dots \} \rangle$
- ❖ Proximity (and frequency)
 - $\langle \text{keyword}, \{ \langle \text{doc-id}, \langle \text{position-of-occurrence}_1, \text{position-of-occurrence}_2, \dots \rangle \rangle, \langle \text{doc-id}, \langle \text{position-of-occurrence}_1, \dots \rangle \rangle, \dots \} \rangle$
 - When doing AND, check for positions that are near

Signature files

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- ❖ Store the matrix by columns and compress them
- ❖ For each document, store a w -bit signature
- ❖ Each word is hashed into a w -bit value, with only $s < w$ bits turned on
- ❖ Signature is computed by taking the bit-wise OR of the hash values of all words on the document

Does doc_3 contain
 $hash("database") = 0110$ doc_1 contains "database": 0110 "database"?
 $hash("dog") = 1100$ doc_2 contains "dog": 1100
 $hash("cat") = 0010$ doc_3 contains "cat" and "dog": 1110

☞ Some false positives; no false negatives

Bit-sliced signature files

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- ❖ Motivation
 - To check if a document contains a word, we only need to check the bits that are set in the word's hash value
 - So why bother retrieving all w bits of the signature?
- ❖ Instead of storing n signature files, store w bit slices
- ❖ Only check the slices that correspond to the set bits in the word's hash value
- ❖ Start from the sparse slices

doc	signature
1	00001000
2	00001000
3	00011000
4	01101000
...	...
n	00001000

Bit-sliced signature files

Starting to look like an inverted list again!

Inverted lists versus signatures

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- ❖ Inverted lists are better for most purposes (*TODS*, 1998)
- ❖ Problems of signature files
 - False positives
 - Hard to use because s , w , and the hash function need tuning to work well
 - Long documents will likely have mostly 1's in signatures
 - Common words will create mostly 1's for their slices
- ❖ Saving grace of signature files
 - Good for lots of search terms
 - Good for computing similarity of documents

Suffix arrays (*SODA*, 1990)

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- ❖ Another index for searching text
- ❖ Conceptually, to construct a suffix array for string S
 - Enumerate all $|S|$ suffixes of S
 - Sort these suffixes in lexicographical order
- ❖ To search for occurrences of a substring
 - Do a binary search on the suffix array

Suffix array example

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$S = \text{mississippi}$ $q = \text{sip}$

Suffixes:	Sorted suffixes:	Suffix array:	
mississippi	i	10	
ississippi	ippi	7	
ssissippi	issippi	4	No need to store
sissippi	issippi	1	the suffix strings;
issippi	issippi	0	just store where
ssippi	mississippi	9	they start
sippi	pi	8	
ippi	ppi	6	
ppi	sippi	3	$O(q \cdot \log S)$
pi	ssissippi	5	
i	ssissippi	2	

One improvement

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- ❖ Remember how much of the query string has been matched

$q = \text{sisterhood}$

low: \Rightarrow sissipi... Matched 3 characters

middle: \Rightarrow sisterhood... Start checking from the 4th character

high: \Rightarrow sistering... Matched 5 characters

Another improvement

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- ❖ Pre-compute the longest common prefix information between suffixes
 - For all (*low*, *middle*) and (*middle*, *high*) pairs that can come up in a binary search

$q = \text{sisterhood}$ $O(|q| + \log |S|)$

low: \Rightarrow sissipi... Matched 3 characters

middle: \Rightarrow sisterhood... Start checking from the 6th character

high: \Rightarrow sistering... Matched 5 characters (pre-computed)

Suffix arrays versus inverted lists

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- ❖ Suffix arrays are more powerful because they index all substrings (not just words)
 - No problem with long phrase searches
 - No problem if there is no word boundary
 - No problem with a huge vocabulary of words
- ❖ Suffix arrays use more space than inverted lists?
 - Check out compressed suffix arrays (*STOC* 2000)