## CompSci 102 Discrete Math for Computer Science



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## **Recurrence Relations**

- model lots of problems
- The Tower of Hanoi
- Divide and conquer algorithms
  - Sorting algorithm mergesort
  - Sorting algorithm quicksort
- Tree algorithms
  - Searching for an element in a binary search tree
  - Listing out all elements in a binary search tree

## Announcements

- Read for next time Chap. 8.3-8.4, 10.1-10.2
- Last Recitation on Friday
- Test back today

# Solving a recurrence relation

- Problem sets up as a recurrence
  - Must have a base case
- Solve the recurrence – Use substitution
- Prove correctness
  - Proof by induction

## Example 1

- $a_n = a_{n-1} + c$
- $a_0 = 1$
- Solve recurrence
- Then prove true by induction
- What is this an example of?

## Example 2

- $a_n = 2*a_{n-1} + c$
- $a_0 = 0$
- Solve recurrence
- Then prove true by induction
- What is this an example of?

#### Worst case binary search tree



Towers of Hanoi

- Figures
  - Figs 1-4
    - problem size n-1
  - Figs 4-5
    - Constant work
  - Figs 5-7
    - problem size n-1



## Example 3

- $a_n = 2*a_{n/2} + c$
- $a_1 = c$
- Solve recurrence
- Then prove true by induction
- What is this an example of?

## Example 4

- $a_n = 2*a_{n/2} + cn$
- $a_1 = c$
- Solve recurrence
- Then prove true by induction
- What is this an example of?

Traversal in binary search tree preorder, postorder, inorder



MergeSort



• n log n

#### Definition

- A linear homogeneous recurrence relation of degree k with constant coefficients is a recurrence relation of the form
- $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$
- Where  $c_i$  are real numbers and  $c_k \neq 0$

#### Theorem

• Let  $c_1$  and  $c_2$  be real numbers. Suppose that  $r^2 - c_1 r - c_2 = 0$  has two distinct roots  $r_1$  and  $r_2$ . Then the sequence  $\{a_n\}$  is a solution of the recurrence

$$a_n = c_1 a_{n-1} + c_2 a_{n-2}$$

if and only if

$$a_n = \propto_1 r_1^n + \propto_2 r_2^n$$

for all n where  $\propto_1$  and  $\propto_2$  are constants

#### Example

## Many other theorems

• See theorems 2-6 in Chapter 8.2

• What is the solution to the recurrence relation  $a_n = a_{n-1} + 2 a_{n-2}$  with  $a_0 = 2$ and  $a_1 = 7$ 

#### THEOREM 6

Suppose that  $\{a_n\}$  satisfies the linear nonhomogeneous recurrence relation

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n),$$

where  $c_1, c_2, \ldots, c_k$  are real numbers, and

 $F(n) = (b_{1}n^{t} + b_{t-1}n^{t-1} + \dots + b_{1}n + b_{0})s^{n},$ 

where  $b_0, b_1, \dots, b_t$  and s are real numbers. When s is not a root of the characteristic equation of the associated linear homogeneous recurrence relation, there is a particular solution of the form

$$(p_t n^t + p_{t-1} n^{t-1} + \dots + p_1 n + p_0) s^n$$

When s is a root of this characteristic equation and its multiplicity is m, there is a particular solution of the form

$$n^{m}(p_{t}n^{t} + p_{t-1}n^{t-1} + \dots + p_{1}n + p_{0})s^{t}$$

#### Theorem 1 in 8.3

Let f be an increasing function that satisfies the recurrence relation

f(n) = af(n/b) + c

whenever n is divisible by b, where  $a \ge 1, b$  is an integer greater than 1, and c is a positive real number. Then

$$f(n) \text{ is } \begin{cases} O(n^{\log_b a}) \text{ if } a > 1, \\ O(\log n) & \text{ if } a = 1. \end{cases}$$

Furthermore, when  $n = b^k$  and  $a \neq 1$ , where k is a positive integer,

$$f(n) = C_1 n^{\log_b a} + C_2,$$
  
where  $C_1 = f(1) + c/(a-1)$  and  $C_2 = -c/(a-1).$ 

where 
$$C_1 = f(1) + c/(a-1)$$
 and  $C_2 = -c/(a-1)$ 

#### Master Theorem in 8.3

MASTER THEOREM Let f be an increasing function that satisfies the recurrence relation

 $f(n) = af(n/b) + cn^d$ 

whenever  $n = b^k$ , where k is a positive integer,  $a \ge 1$ , b is an integer greater than 1, and c and d are real numbers with c positive and d nonnegative. Then

$$f(n) \text{ is } \begin{cases} O(n^d) & \text{ if } a < b^d, \\ O(n^d \log n) & \text{ if } a = b^d, \\ O(n^{\log_b a}) & \text{ if } a > b^d. \end{cases}$$