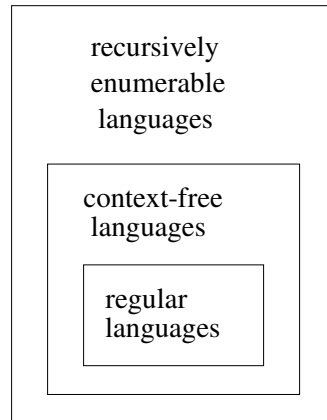


Read Chapter 11 in Linz.

**Definition:** A language  $L$  is *recursively enumerable* if there exists a TM  $M$  such that  $L=L(M)$ .



**Definition:** A language  $L$  is *recursive* if there exists a TM  $M$  such that  $L=L(M)$  and  $M$  halts on every  $w \in \Sigma^+$ .

### Enumeration procedure for recursive languages

To enumerate all  $w \in \Sigma^+$  in a recursive language  $L$ :

- Let  $M$  be a TM that recognizes  $L$ ,  $L = L(M)$ .
- Construct 2-tape TM  $M'$ 
  - Tape 1 will enumerate the strings in  $\Sigma^+$
  - Tape 2 will enumerate the strings in  $L$ .
    - On tape 1 generate the next string  $v$  in  $\Sigma^+$
    - simulate  $M$  on  $v$
    - if  $M$  accepts  $v$ , then write  $v$  on tape 2.

## Enumeration procedure for recursively enumerable languages

To enumerate all  $w \in \Sigma^+$  in a recursively enumerable language L:

Repeat forever

- Generate next string (Suppose k strings have been generated:  $w_1, w_2, \dots, w_k$ )
- Run M for one step on  $w_k$   
Run M for two steps on  $w_{k-1}$ .  
...  
Run M for k steps on  $w_1$ .  
If any of the strings are accepted then write them to tape 2.

**Theorem** Let S be an infinite countable set. Its powerset  $2^S$  is not countable.

### Proof - Diagonalization

- S is countable, so its elements can be enumerated.

$$S = \{s_1, s_2, s_3, s_4, s_5, s_6 \dots\}$$

An element  $t \in 2^S$  can be represented by a sequence of 0's and 1's such that the  $i$ th position in  $t$  is 1 if  $s_i$  is in  $t$ , 0 if  $s_i$  is not in  $t$ .

Example,  $\{s_2, s_3, s_5\}$  represented by

Example, set containing every other element from S, starting with  $s_1$  is  $\{s_1, s_3, s_5, s_7, \dots\}$  represented by

Suppose  $2^S$  countable. Then we can enumerate all its elements:  $t_1, t_2, \dots$

	$s_1$	$s_2$	$s_3$	$s_4$	$s_5$	$s_6$	$s_7$	...
$t_1$	0	1	0	1	0	0	1	...
$t_2$	1	1	0	0	1	1	0	...
$t_3$	0	0	0	0	1	0	0	...
$t_4$	1	0	1	0	1	1	0	...
$t_5$	1	1	1	1	1	1	1	...
$t_6$	1	0	0	1	0	0	1	...
$t_7$	0	1	0	1	0	0	0	...
...								

**Theorem** For any nonempty  $\Sigma$ , there exist languages that are not recursively enumerable.

**Proof:**

- A language is a subset of  $\Sigma^*$ .

The set of all languages over  $\Sigma$  is

**Theorem** There exists a recursively enumerable language  $L$  such that  $\bar{L}$  is not recursively enumerable.

**Proof:**

- Let  $\Sigma = \{a\}$

Enumerate all TM's over  $\Sigma$ :

	a	aa	aaa	aaaa	aaaaa	...
$L(M_1)$	0	1	1	0	1	...
$L(M_2)$	1	0	1	0	1	...
$L(M_3)$	0	0	1	1	0	...
$L(M_4)$	1	1	0	1	1	...
$L(M_5)$	0	0	0	1	0	...
...						

The next two theorems in conjunction with the previous theorem will show that there are some languages that are recursively enumerable, but not recursive.

**Theorem** If languages  $L$  and  $\bar{L}$  are both RE, then  $L$  is recursive.

**Proof:**

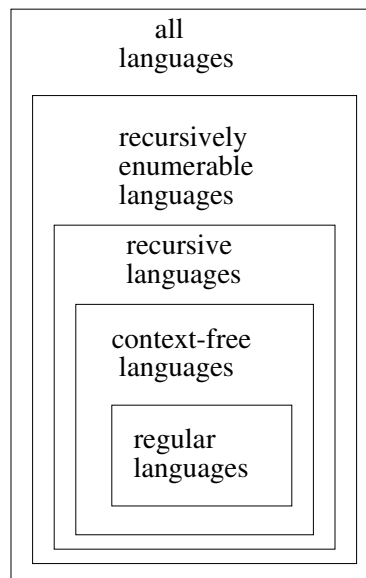
- There exists an  $M_1$  such that  $M_1$  can enumerate all elements in  $L$ .  
There exists an  $M_2$  such that  $M_2$  can enumerate all elements in  $\bar{L}$ .  
To determine if a string  $w$  is in  $L$  or not in  $L$  perform the following algorithm:

**Theorem:** If  $L$  is recursive, then  $\bar{L}$  is recursive.

**Proof:**

- $L$  is recursive, then there exists a TM  $M$  such that  $M$  can determine if  $w$  is in  $L$  or  $w$  is not in  $L$ .  $M$  outputs a 1 if a string  $w$  is in  $L$ , and outputs a 0 if a string  $w$  is not in  $L$ .  
Construct TM  $M'$  that does the following.  $M'$  first simulates TM  $M$ . If TM  $M$  halts with a 1, then  $M'$  erases the 1 and writes a 0. If TM  $M$  halts with a 0, then  $M'$  erases the 0 and writes a 1.

Hierarchy of Languages:



**Definition** A grammar  $G=(V,T,S,P)$  is *unrestricted* if all productions are of the form

$$u \rightarrow v$$

where  $u \in (V \cup T)^+$  and  $v \in (V \cup T)^*$

**Example:**

Let  $G=(\{S,A,X\},\{a,b\},S,P)$ ,  $P=$

$$\begin{aligned} S &\rightarrow bAaaX \\ bAa &\rightarrow abA \\ AX &\rightarrow \lambda \end{aligned}$$

**Example** Find an unrestricted grammar  $G$  s.t.  $L(G)=\{a^n b^n c^n | n > 0\}$

$$G=(V,T,S,P)$$

$$V=\{S,A,B,D,E,X\}$$

$$T=\{a,b,c\}$$

$$P=$$

- 1)  $S \rightarrow AX$
- 2)  $A \rightarrow aAbc$
- 3)  $A \rightarrow aBbc$
- 4)  $Bb \rightarrow bB$
- 5)  $Bc \rightarrow D$
- 6)  $Dc \rightarrow cD$
- 7)  $Db \rightarrow bD$
- 8)  $DX \rightarrow EXc$

There are some rules missing in the grammar.

To derive string  $aaabbbccc$ , use productions 1,2 and 3 to generate a string that has the correct number of a's b's and c's. The a's will all be together, but the b's and c's will be intertwined.

$$S \Rightarrow AX \Rightarrow aAbcX \Rightarrow aaAbcbcbX \Rightarrow aaaBbcbcbcbX$$

**Theorem** If  $G$  is an unrestricted grammar, then  $L(G)$  is recursively enumerable.

**Proof:**

- List all strings that can be derived in one step.

List all strings that can be derived in two steps.

**Theorem** If  $L$  is recursively enumerable, then there exists an unrestricted grammar  $G$  such that  $L=L(G)$ .

**Proof:**

- $L$  is recursively enumerable.  
 $\Rightarrow$  there exists a TM  $M$  such that  $L(M)=L$ .  
 $M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$   
 $q_0 w \vdash^* x_1 q_f x_2$  for some  $q_f \in F, x_1, x_2 \in \Gamma^*$   
Construct an unrestricted grammar  $G$  s.t.  $L(G)=L(M)$ .  
 $S \xRightarrow{*} w$   
Three steps
  1.  $S \xRightarrow{*} B \dots B \# x q_f y B \dots B$   
with  $x, y \in \Gamma^*$  for every possible combination
  2.  $B \dots B \# x q_f y B \dots B \xRightarrow{*} B \dots B \# q_0 w B \dots B$
  3.  $B \dots B \# q_0 w B \dots B \xRightarrow{*} w$

**Definition** A grammar  $G$  is *context-sensitive* if all productions are of the form

$$x \rightarrow y$$

where  $x, y \in (V \cup T)^+$  and  $|x| \leq |y|$

**Definition**  $L$  is context-sensitive (CSL) if there exists a context-sensitive grammar  $G$  such that  $L=L(G)$  or  $L=L(G) \cup \{\lambda\}$ .

**Theorem** For every CSL  $L$  not including  $\lambda$ ,  $\exists$  an LBA  $M$  s.t.  $L=L(M)$ .

**Theorem** If  $L$  is accepted by an LBA  $M$ , then  $\exists$  CSG  $G$  s.t.  $L(M)=L(G)$ .

**Theorem** Every context-sensitive language  $L$  is recursive.

**Theorem** There exists a recursive language that is not CSL.