

Minimum Spanning Tree 1

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1 Overview

This lecture introduces basic concepts of (MST): the cut property and the cycle property.¹ Throughout the notes, we use MST for minimal spanning tree, $w(e)$ for weight of the edge e , and $w(T)$ for weight of the tree T .

2 Minimum Spanning Tree Preliminaries

Definition 1. Given an undirected weighted connected graph $G = (V, E)$, a spanning tree is a subgraph $G' = (V, E')$ of G , where $E' \subseteq E$, such that G' is connected and acyclic.

Definition 2. A minimum spanning tree (MST) is a spanning tree with minimum total weight.

2.1 Generic Properties of Minimum Spanning Tree

2.1.1 Cut Property

Definition 3. A cut of a graph $G = (V, E)$ is a pair of disjoint and exhaustive subsets of V . A cut determines a cut-set, which is the set of edges that have one endpoint in each subset of the pair.

Lemma 1 (Cut Property). Given an undirected weighted connected graph $G = (V, E)$, for any $S \subseteq V$, the (strictly) lightest edge cross the cut $(S, V \setminus S)$ is included in any minimum spanning tree.

Proof. Let T be a minimum spanning tree. Let the lightest edge cross the cut $(S, V \setminus S)$ be (u, v) , where $u \in S$ and $v \in V \setminus S$. If T does not contain (u, v) , we can find an edge $e \neq (u, v)$ in T which fulfills: (1) e is in the path from u to v and (2) e is an edge cross the cut $(S, V \setminus S)$. Such an edge has to exist because T is a spanning tree. We construct another spanning tree T' by deleting edge e from T and adding edge (u, v) , and then T' has a smaller total weight which implies that T is not a minimum spanning tree. \square

2.1.2 Cycle Property

Lemma 2 (Cycle Property). Let C be any cycle, and let f be the (unique) max weight edge belonging to C . Then any MST does not contain f .

Proof. Let T^* be an MST. Suppose f belongs to T^* . Deleting f from T^* disconnects T^* and generates a cut $(S, V \setminus S)$. There is some other edge in the cycle C , say e , has exactly one endpoint in S . Therefore $T = T^* \setminus \{e\} \cup \{f\}$ is also a spanning tree. Since $w(e) < w(f)$, we know $w(T) < w(T^*)$, which is a contradiction. \square

¹Some of the material is from a previous note by Yilun Zhou for this course in Fall 2014.