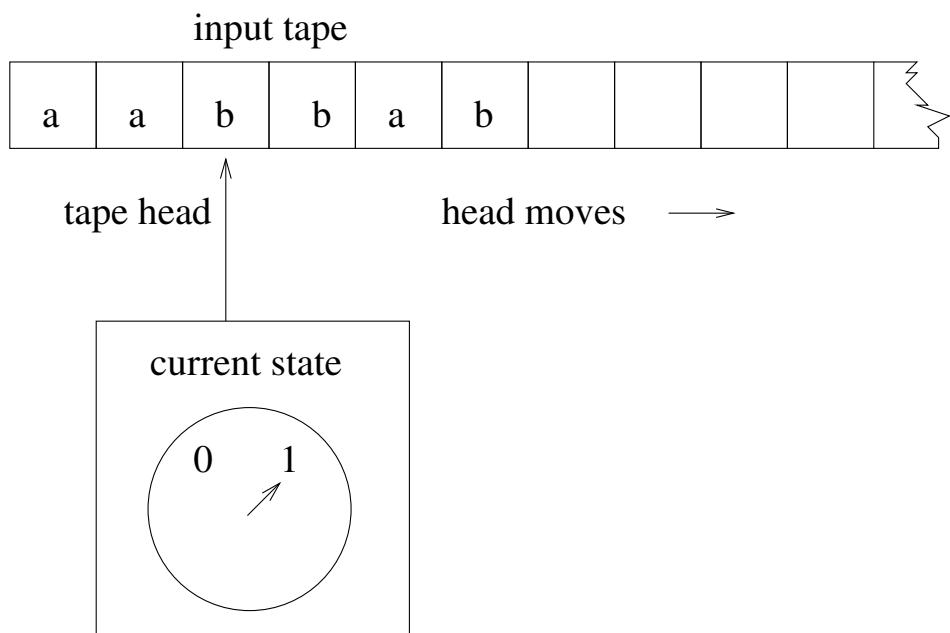


## Section: Finite Automata

**Deterministic Finite Acceptor (or Automata)**

A DFA =  $(Q, \Sigma, \delta, q_0, F)$



where

$Q$  is finite set of states

$\Sigma$  is tape (input) alphabet

$q_0$  is initial state

$F \subseteq Q$  is set of final states.

$\delta: Q \times \Sigma \rightarrow Q$

Example: DFA that accepts even binary numbers.

Transition Diagram:

diagram on next page

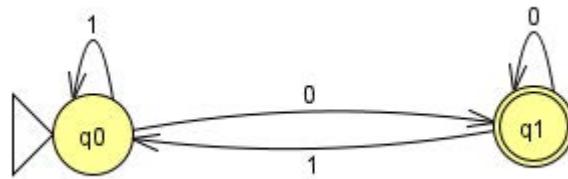
$$M = (Q, \Sigma, \delta, q_0, F) = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_1\})$$

Tabular Format

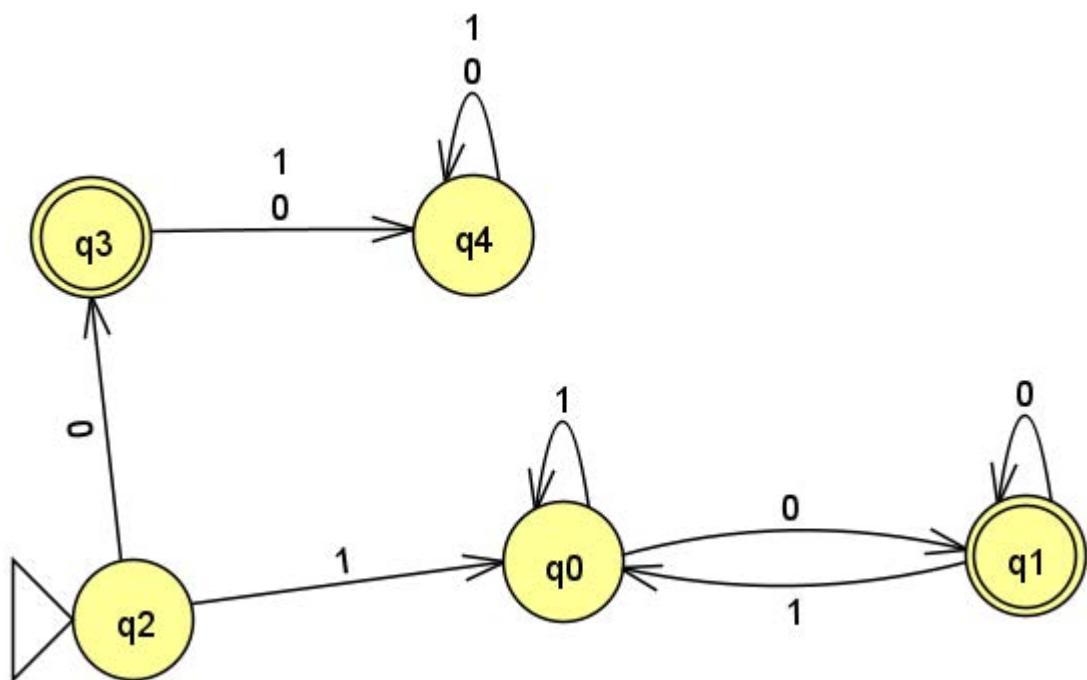
	0	1
q0	q1	q0
q1	q1	q0

Example of a move:  $\delta(q_0, 1) = q_0$

## DFA for even binary numbers (allowing leading zeros)



DFA for even binary numbers, not allowing leading zeros, and showing a trap state ( $q_4$ ).



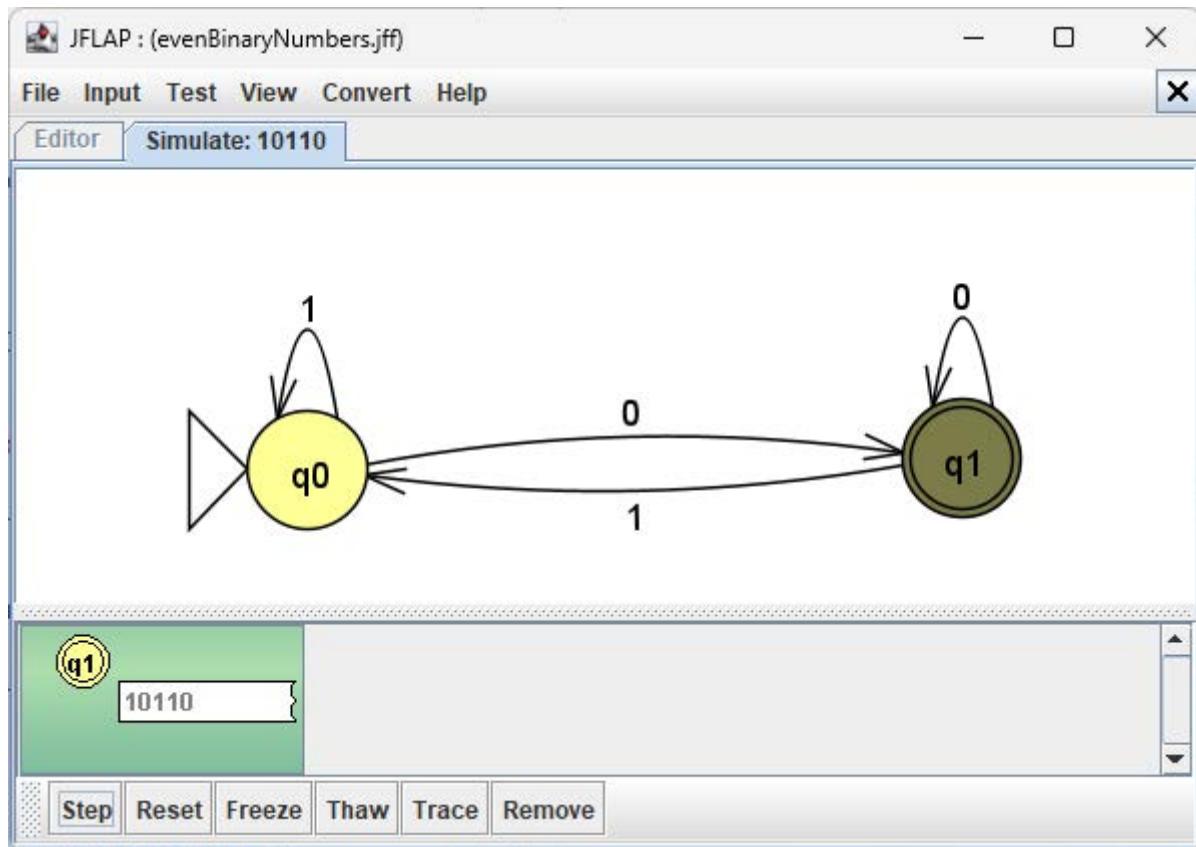
You do not have to show trap states in this course.

## Algorithm for DFA:

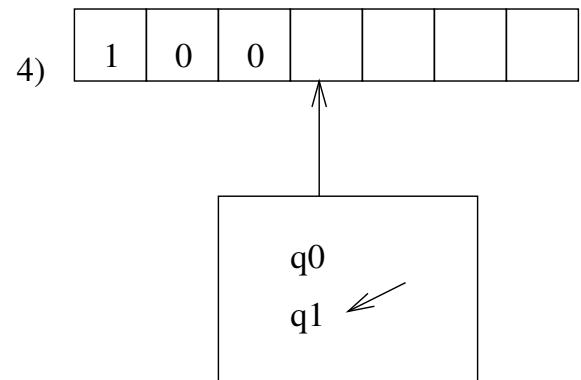
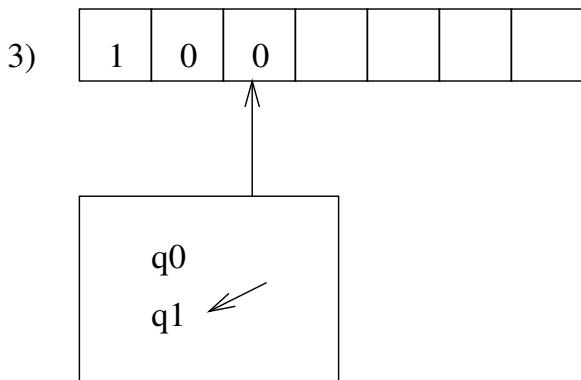
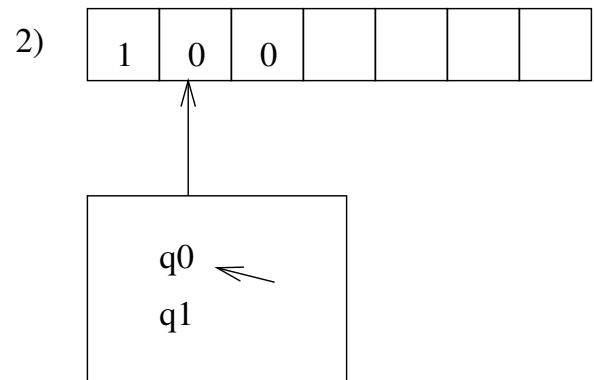
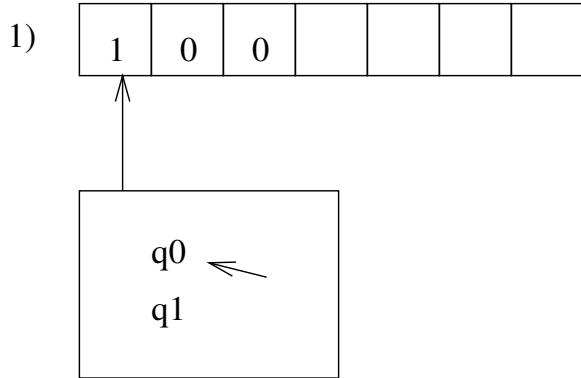
Start in start state with input on tape  
 $q = \text{current state}$   
 $s = \text{current symbol on tape}$   
while ( $s \neq \text{blank}$ ) do  
     $q = \delta(q, s)$   
     $s = \text{next symbol to the right on tape}$   
if  $q \in F$  then accept

## Example of a trace: 11010

[Did in JFLAP](#)



# Pictorial Example of a trace for 100:



## Definition:

$$\delta^*(q, \lambda) = q$$

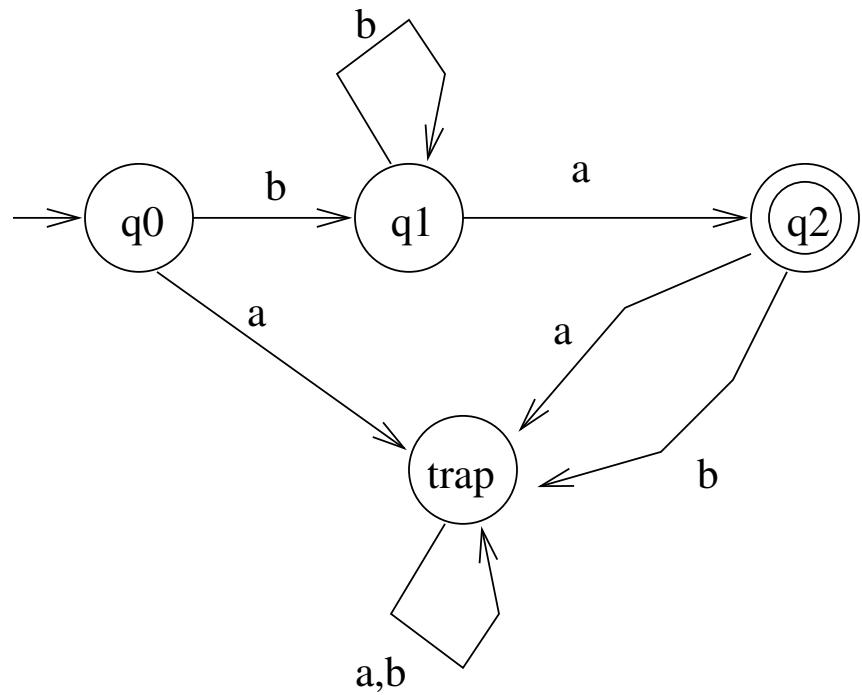
$$\delta^*(q, wa) = \delta(\delta^*(q, w), a)$$

**Definition** The language accepted by a DFA  $M = (Q, \Sigma, \delta, q_0, F)$  is set of all strings on  $\Sigma$  accepted by  $M$ . Formally,

$$L(M) = \{w \in \Sigma^* \mid \delta^*(q_0, w) \in F\}$$

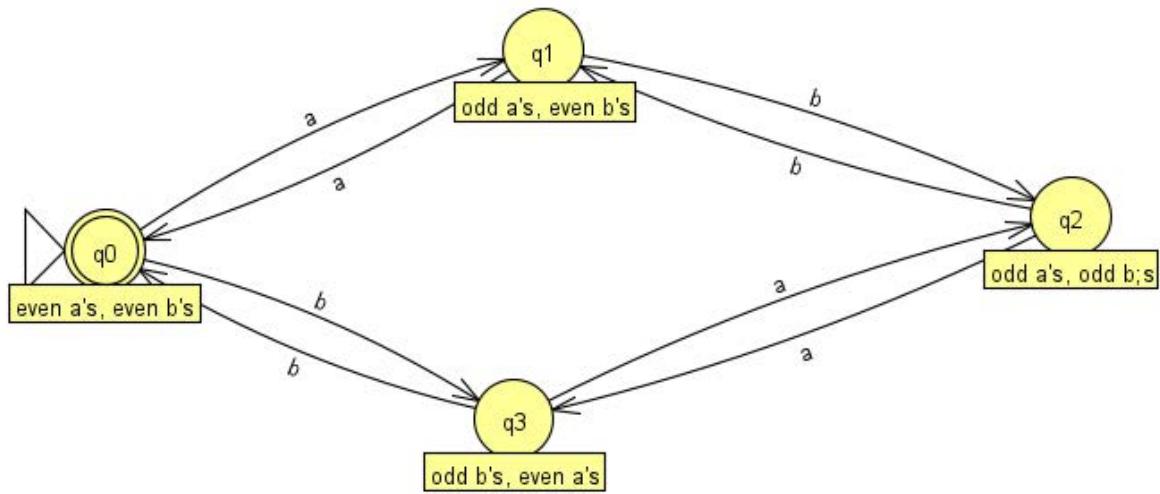
## Trap State

Example:  $L(M) = \{b^n a \mid n \geq 0\}$

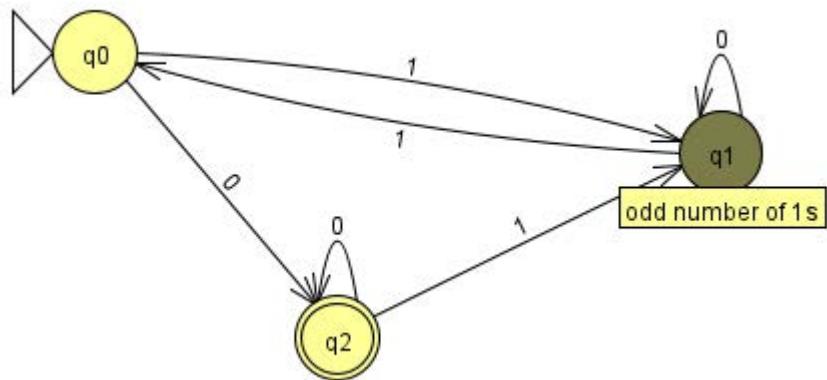


## Example:

$L = \{w \in \Sigma^* \mid w \text{ has an even number of a's and an even number of b's}\}$



Example: DFA that accepts even binary numbers that have an even number of 1's.



Note this has leading zeros, how would you do it without the leading zeros?

**Definition** A language  $L$  is regular iff there exists DFA  $M$  s.t.  $L=L(M)$ .

Stopped here!

## Chapter 2.2

### Nondeterministic Finite Automata (or Acceptor)

#### Definition

An **NFA** =  $(Q, \Sigma, \delta, q_0, F)$

where

$Q$  is finite set of states

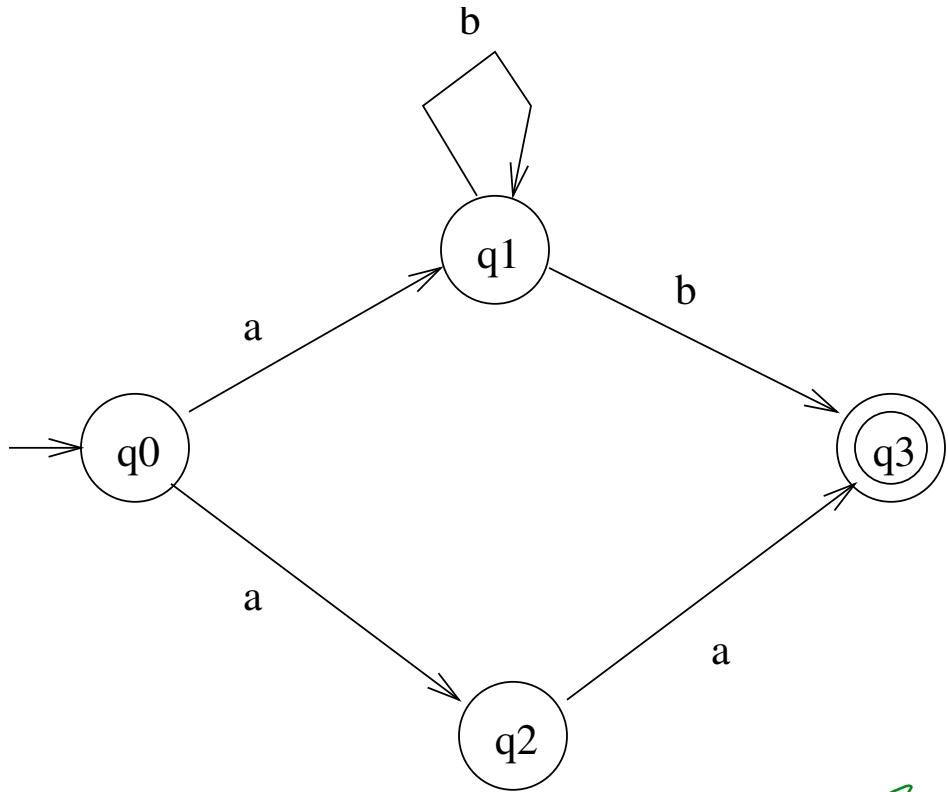
$\Sigma$  is tape (input) alphabet

$q_0$  is initial state

$F \subseteq Q$  is set of final states.

$\delta: Q \times (\Sigma \cup \{\lambda\}) \rightarrow 2^Q$

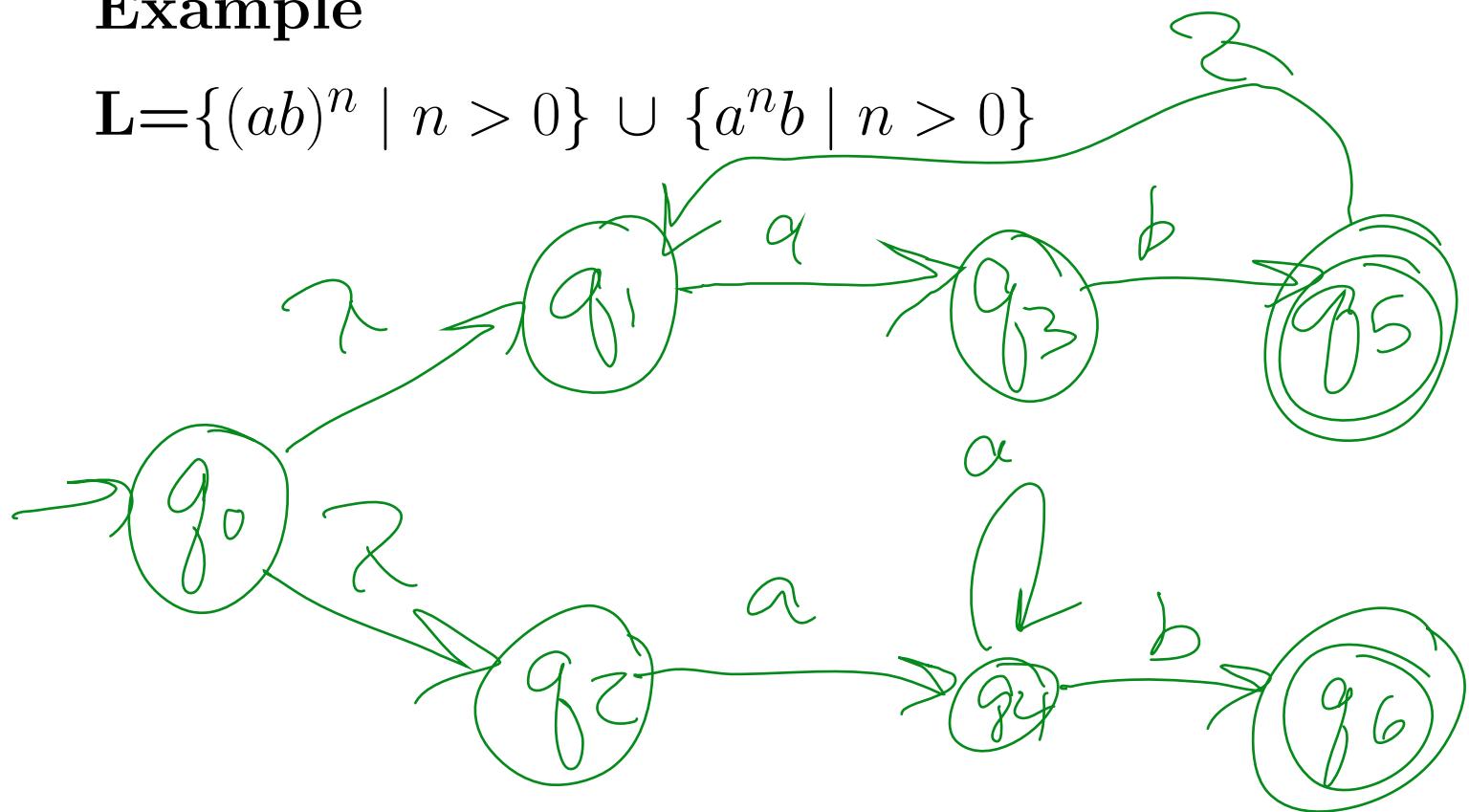
## Example



Note: In this example  $\delta(q_0, a) = \{q_1, q_2\}$   
 $L = \{aa\} \cup \{ab^n \mid n \geq 0\}$

## Example

$$L = \{(ab)^n \mid n > 0\} \cup \{a^n b \mid n > 0\}$$



abab  $\in L$        $b, z \notin L$

**Definition**  $q_j \in \delta^*(q_i, w)$  if and only if there is a walk from  $q_i$  to  $q_j$  labeled  $w$ .

**Example** From previous example:

$$\delta^*(q_0, ab) = \{q_1, q_5, q_6\}$$

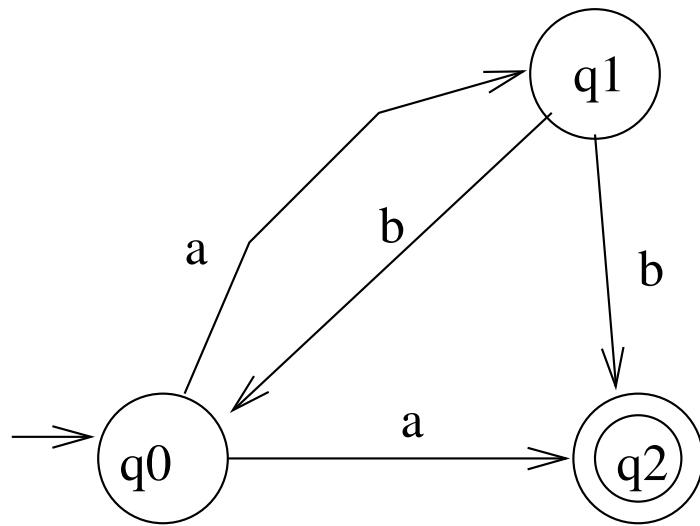
$$\delta^*(q_0, aba) = \{q_3\}$$

**Definition:** For an NFA  $M$ ,

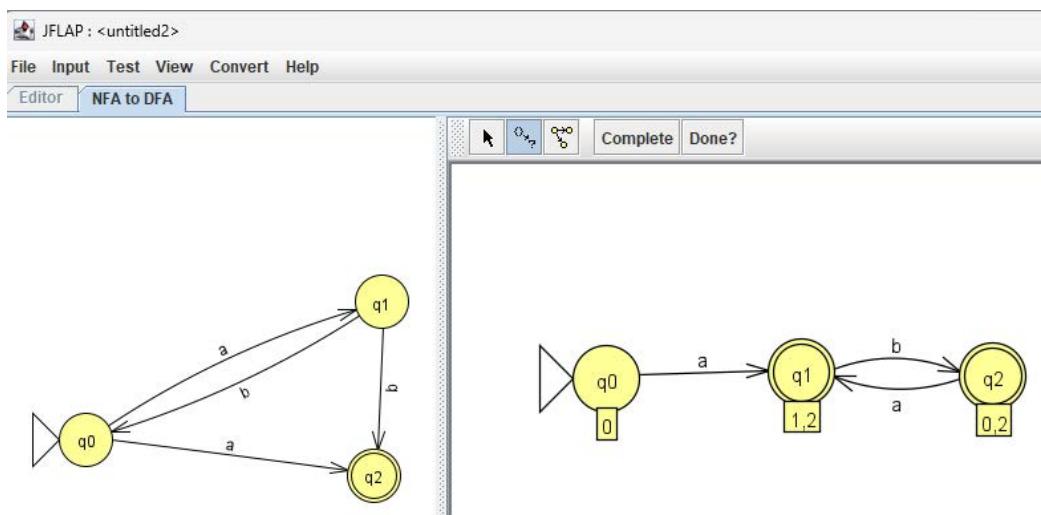
$$L(M) = \{w \in \Sigma^* \mid \delta^*(q_0, w) \cap F \neq \emptyset\}$$

## 2.3 NFA vs. DFA: Which is more powerful?

Example:



Convert NFA to DFA:



## Theorem Given an NFA

$M_N = (Q_N, \Sigma, \delta_N, q_0, F_N)$ , then there exists a DFA  $M_D = (Q_D, \Sigma, \delta_D, q_0, F_D)$  such that  $L(M_N) = L(M_D)$ .

Proof:

We need to define  $M_D$  based on  $M_N$ .

$$Q_D = 2^{Q_N}$$
$$F_D = \{ Q \in Q_D \mid \exists q_i \in Q \text{ with } q_i \in F_N \}$$

$$\delta_D : Q_D \times \Sigma \rightarrow Q_D$$

## Algorithm to construct $M_D$

1. start state is  $\{q_0\} \cup \text{closure}(q_0)$

2. While can add an edge

(a) Choose a state  $A = \{q_i, q_j, \dots, q_k\}$   
with missing edge for  $a \in \Sigma$

(b) Compute  $B =$

$$\delta^*(q_i, a) \cup \delta^*(q_j, a) \cup \dots \cup \delta^*(q_k, a)$$

(c) Add state  $B$  if it doesn't exist

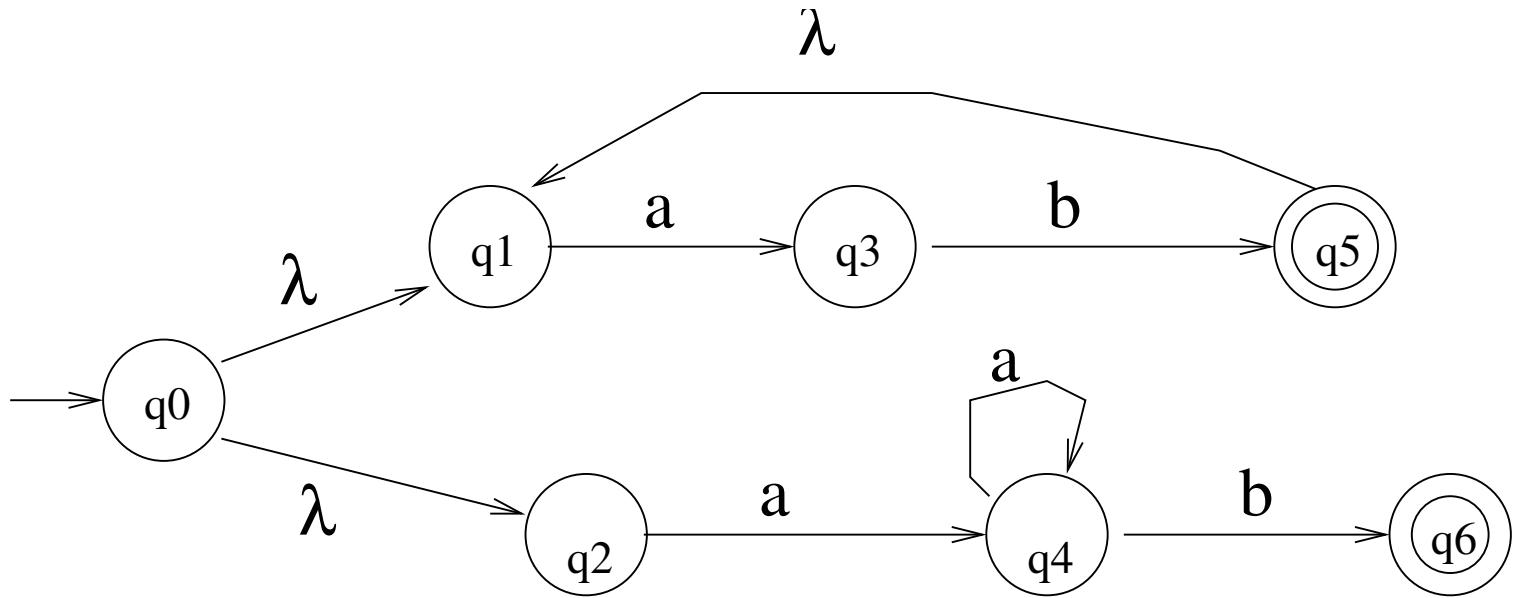
(d) add edge from  $A$  to  $B$  with label  
a

3. Identify final states

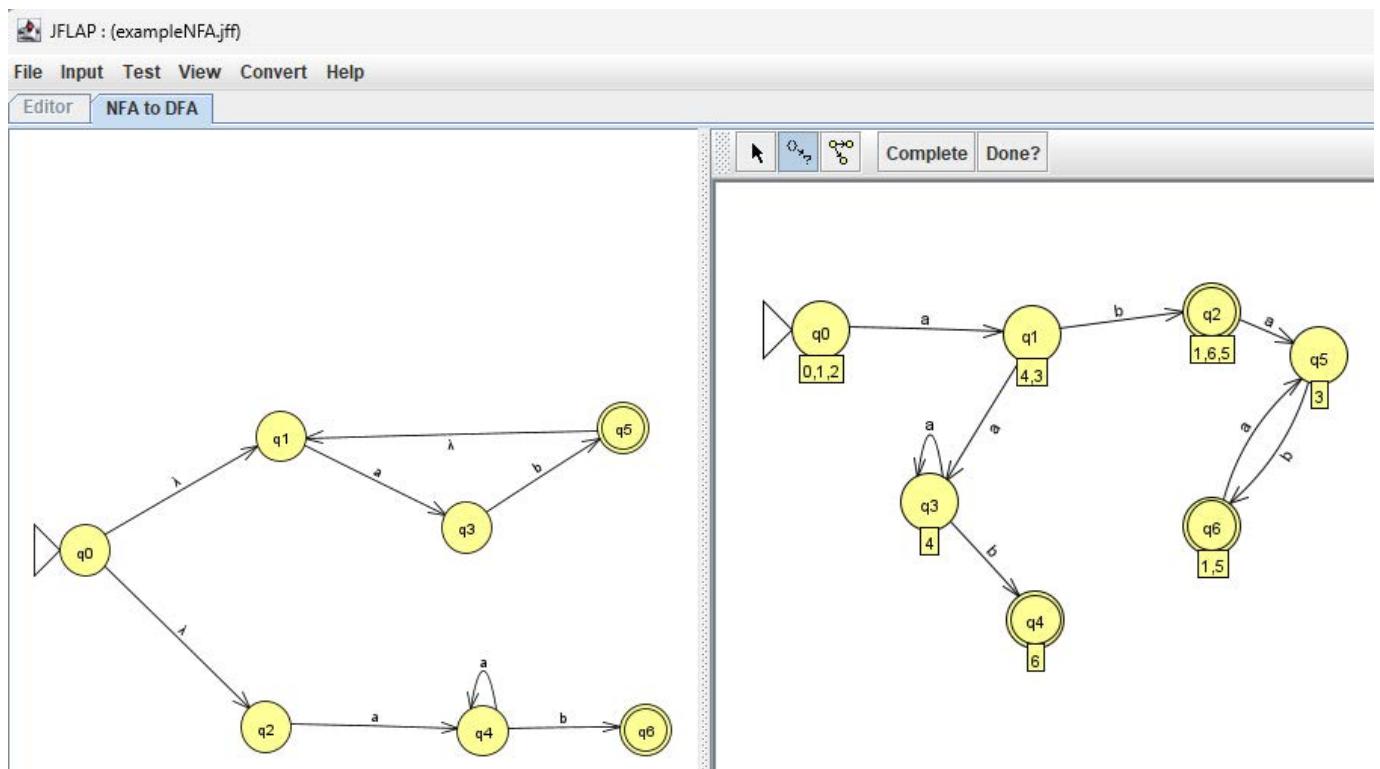
4. if  $\lambda \in L(M_N)$  then make the start  
state final.

include any states  
reachable  
by

## Example:



Convert NFA to DFA:



## Properties and Proving - Problem 1

Consider the property

Replace\_one\_a\_with\_b or R1awb for short. If  $L$  is a regular, prove  $R1awb(L)$  is regular.

The property  $R1awb$  applied to a language  $L$  replaces one  $a$  in each string with a  $b$ . If a string does not have an  $a$ , then the string is not in  $R1awb(L)$ .

Example 1: Consider  $L = \{aaab, bbaa\}$

$$R1awb(L) = \{baab, abab, aabb, bbba, bbab\}$$

Example 2: Consider  $\Sigma = \{a, b\}$ ,  $L = \{w \in \Sigma^* \mid w \text{ has an even number of } a's \text{ and an even number of } b's\}$

$$R1awb(L) = \{w \in \Sigma^* \mid w \text{ has an odd number of } a's \text{ and an odd number of } b's\}$$

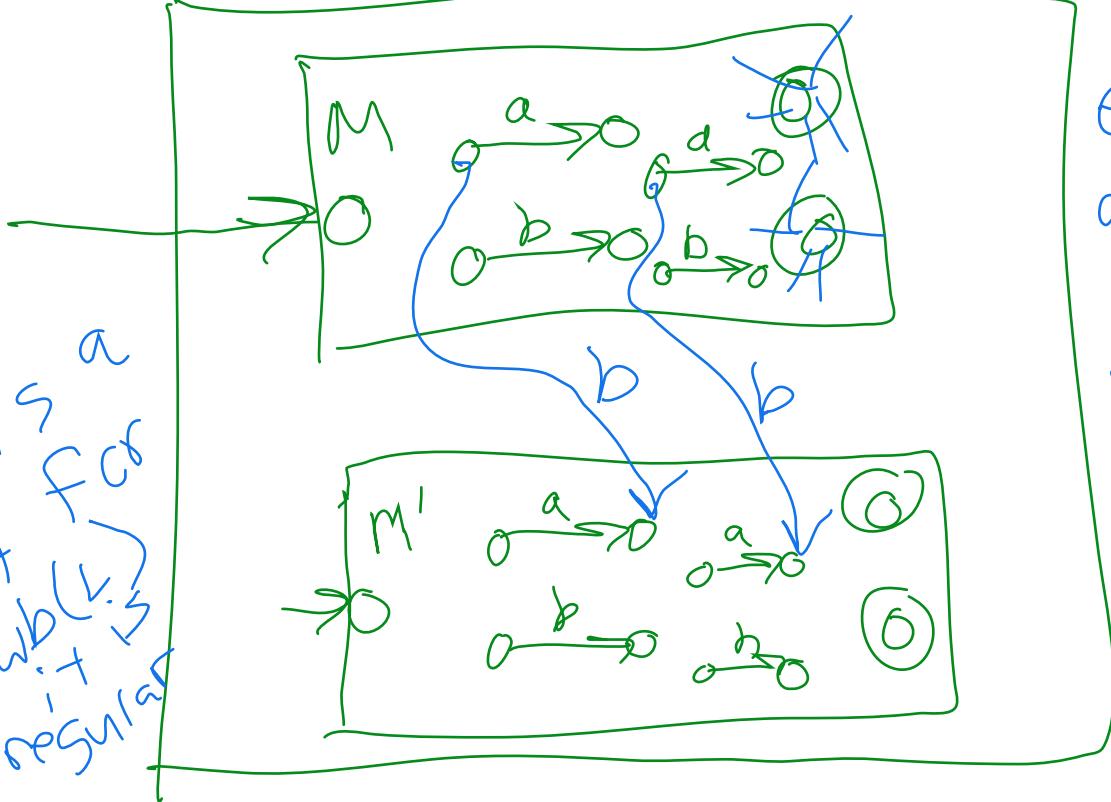
Proof:

Assume  $L$  is regular

$\Rightarrow$  DFA  $M$

$M'$  is a copy of  $M$

This is a  
DFA  
Plan it  
so regular



See handout on January 15 on our calendar page on how to write this up as a proof.

STOPPED HERE

## Properties and Proving - Problem 2

Consider the property

Truncate\_all\_preceeding\_b's or  
TruncPreb for short. If L is a regular,  
prove TruncPreb(L) is regular.

The property TruncPreb applied to a language L removes all preceeding b's in each string. If a string does not have an preceeding b, then the string is the same in TruncPreb(L).

Example 1: Consider  $L = \{aab, bbaa\}$

$\text{TruncPreb}(L) =$

Example 2: Consider  $L = \{(bba)^n \mid n > 0\}$

$\text{TruncPreb}(L) =$

Proof:

# Minimizing Number of states in DFA

Why?

Algorithm

- Identify states that are indistinguishable

These states form a new state

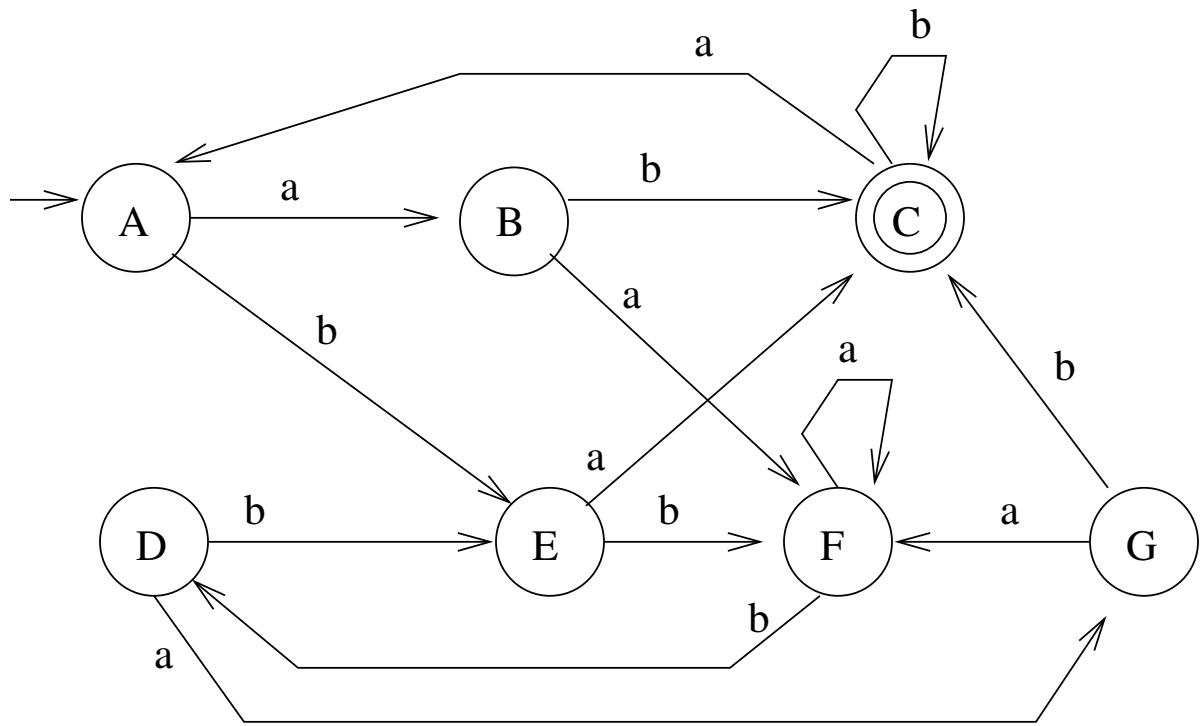
**Definition** Two states  $p$  and  $q$  are indistinguishable if for all  $w \in \Sigma^*$

$$\begin{aligned}\delta^*(q, w) \in F &\Rightarrow \delta^*(p, w) \in F \\ \delta^*(p, w) \notin F &\Rightarrow \delta^*(q, w) \notin F\end{aligned}$$

**Definition** Two states  $p$  and  $q$  are distinguishable if  $\exists w \in \Sigma^*$  s.t.

$$\begin{aligned}\delta^*(q, w) \in F &\Rightarrow \delta^*(p, w) \notin F \text{ OR} \\ \delta^*(q, w) \notin F &\Rightarrow \delta^*(p, w) \in F\end{aligned}$$

## Example:



## Example:

