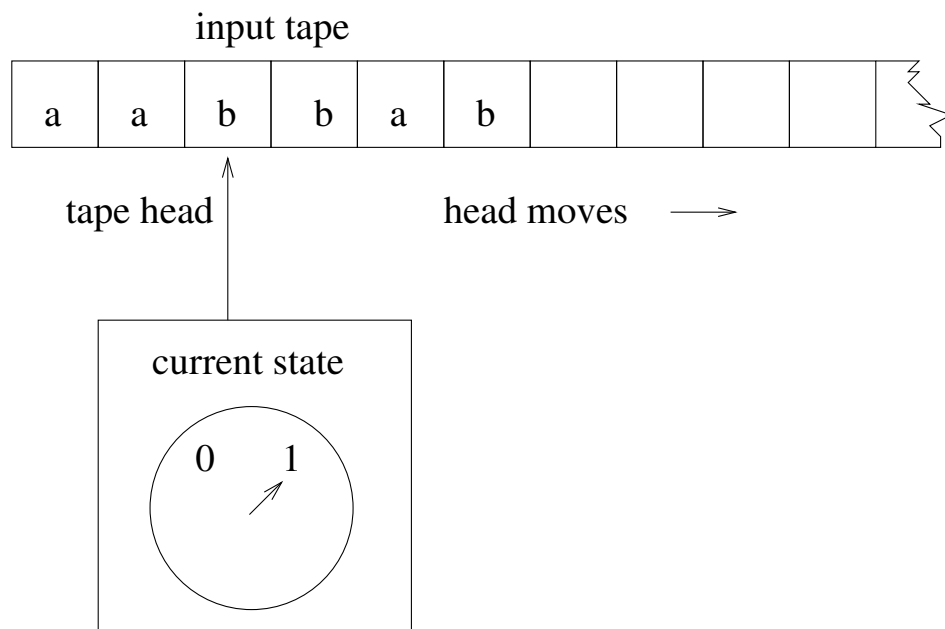


## Section: Finite Automata

### Deterministic Finite Acceptor (or Automata)

A DFA =  $(Q, \Sigma, \delta, q_0, F)$



where

$Q$  is finite set of states

$\Sigma$  is tape (input) alphabet

$q_0$  is initial state

$F \subseteq Q$  is set of final states.

$\delta: Q \times \Sigma \rightarrow Q$

Example: DFA that accepts even binary numbers.

Transition Diagram:

diagram on next page

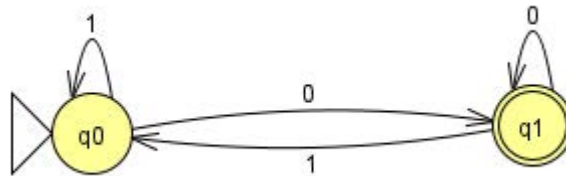
$$M = (Q, \Sigma, \delta, q_0, F) = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_0\})$$

Tabular Format

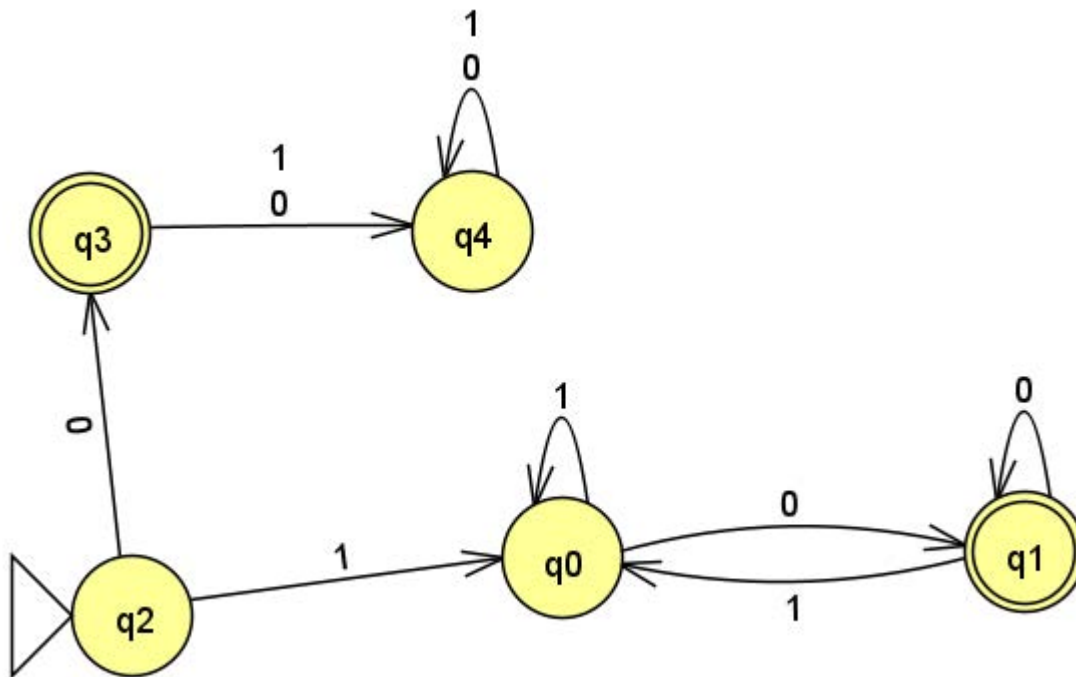
	0	1
q0	q1	q0
q1	q1	q0

Example of a move:  $\delta(q_0, 1) = q_0$

## DFA for even binary numbers (allowing leading zeros)



DFA for even binary numbers, not allowing leading zeros, and showing a trap state ( $q_4$ ).



You do not have to show trap states in this course.

## Algorithm for DFA:

Start in start state with input on tape

$q$  = current state

$s$  = current symbol on tape

while ( $s \neq \text{blank}$ ) do

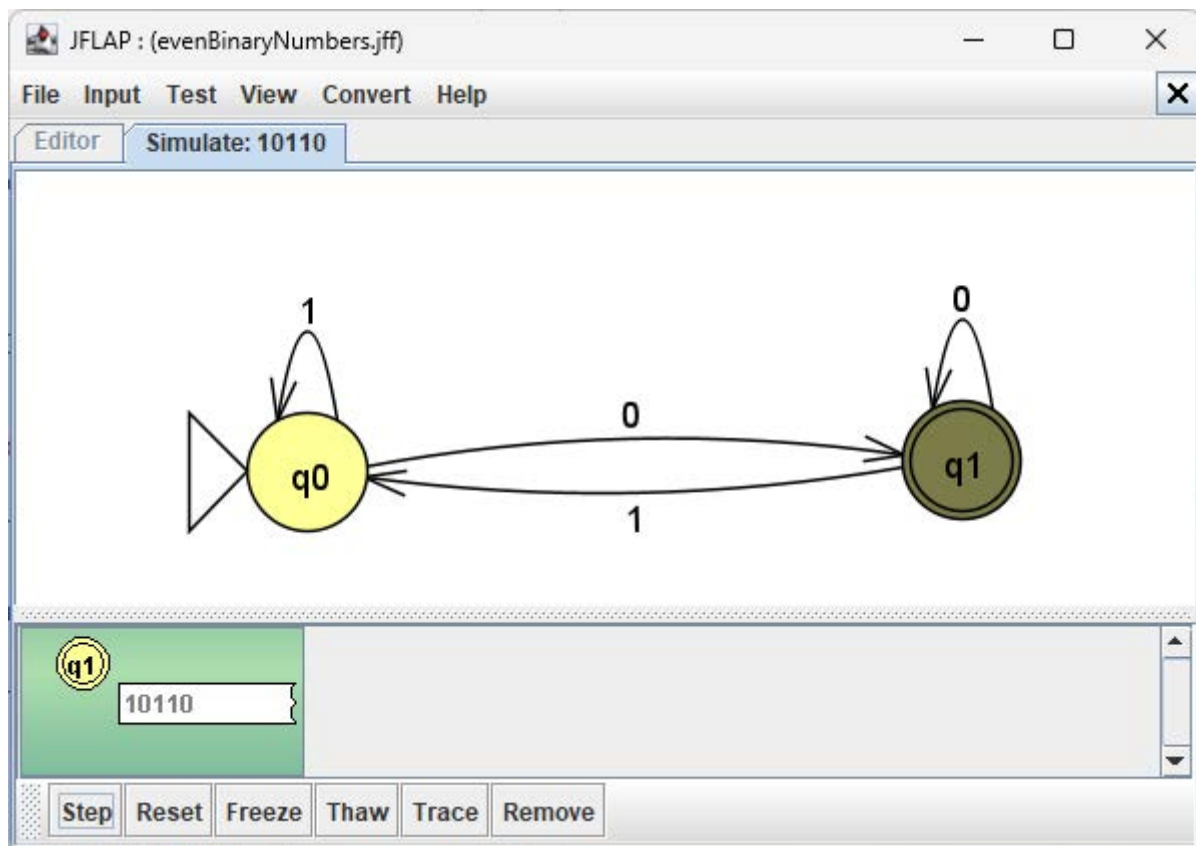
$q = \delta(q, s)$

$s$  = next symbol to the right on tape

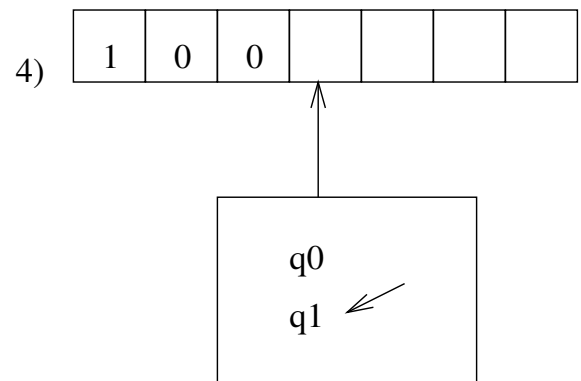
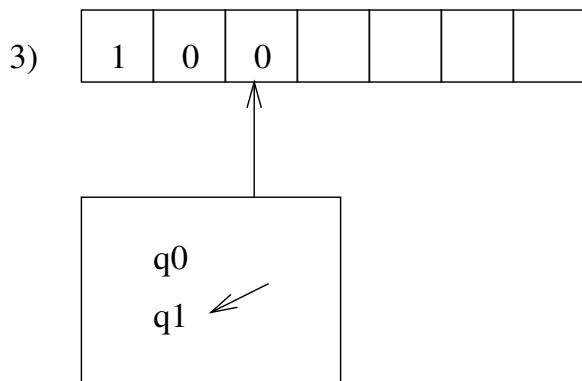
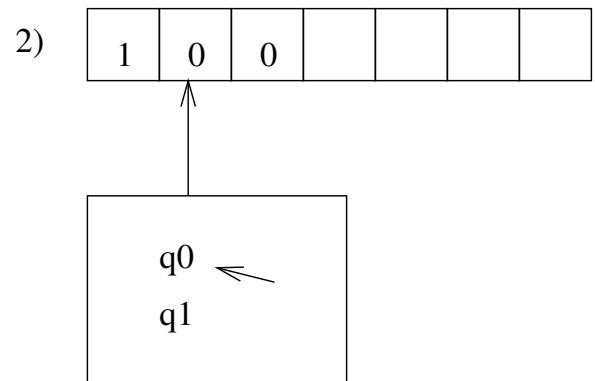
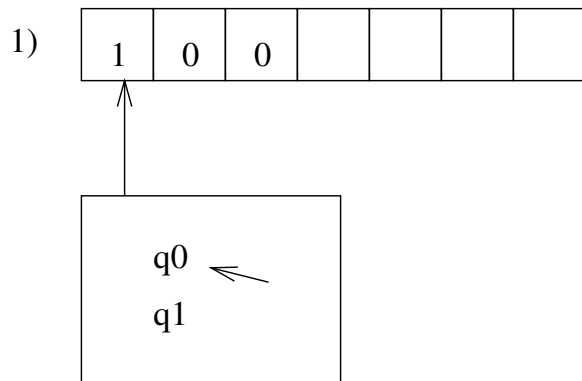
if  $q \in F$  then accept

Example of a trace: 11010

Did in JFLAP



# Pictorial Example of a trace for 100:



**Definition:**

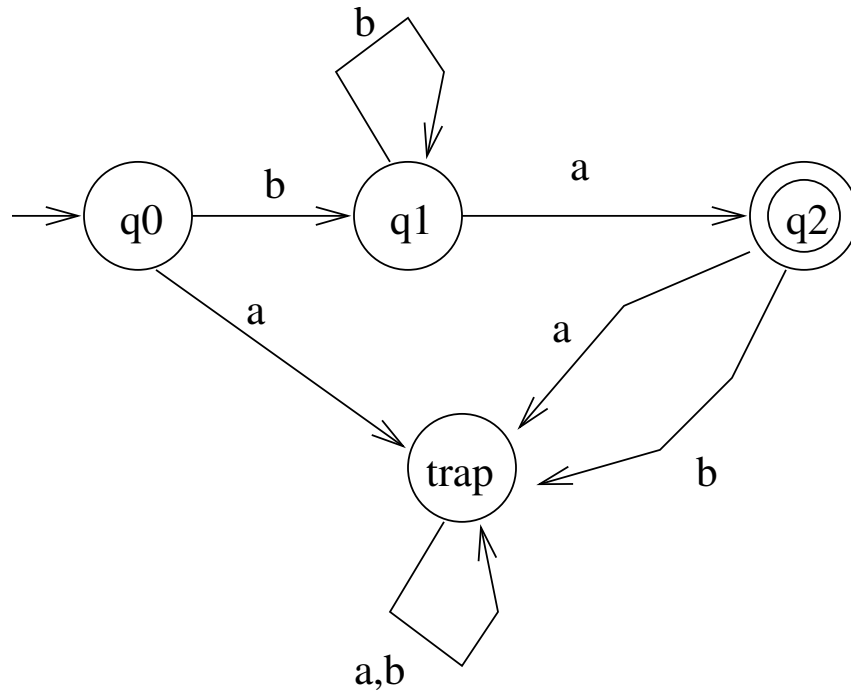
$$\delta^*(q, \lambda) = q$$

$$\delta^*(q, wa) = \delta(\delta^*(q, w), a)$$

**Definition** The language accepted by a DFA  $M=(Q,\Sigma,\delta,q_0,F)$  is set of all strings on  $\Sigma$  accepted by  $M$ . Formally,  
 $L(M)=\{w \in \Sigma^* \mid \delta^*(q_0, w) \in F\}$

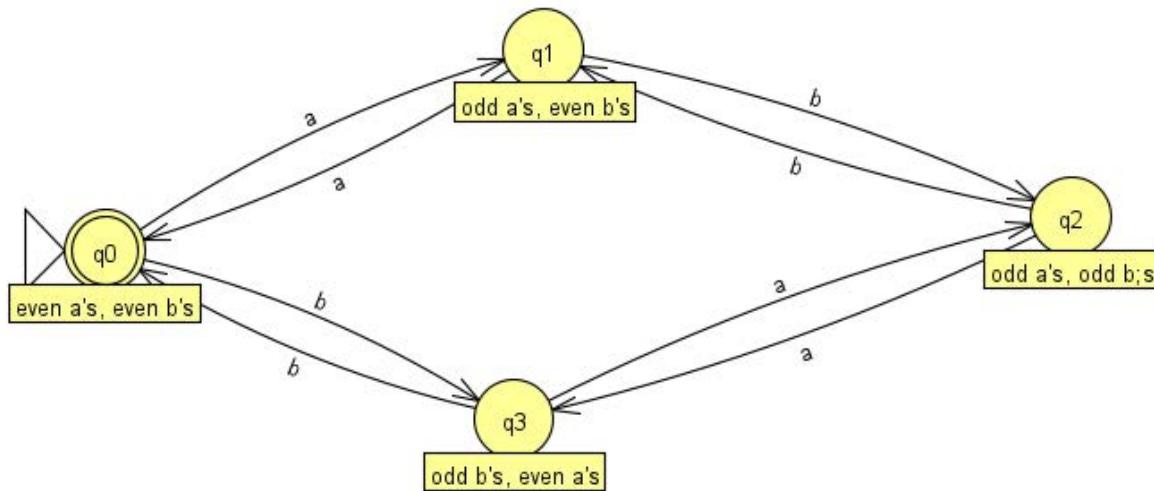
## Trap State

Example:  $L(M) = \{b^n a \mid n \geq 0\}$



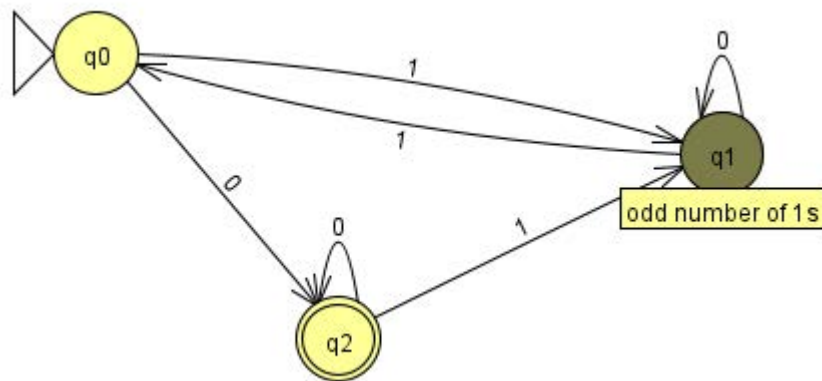
Example:

$L = \{w \in \Sigma^* \mid w \text{ has an even number of a's and an even number of b's}\}$





Example: DFA that accepts even binary numbers that have an even number of 1's.



Note this has leading zeros, how would you do it without the leading zeros?

**Definition** A language  $L$  is regular iff there exists DFA  $M$  s.t.  $L=L(M)$ .

Stopped here!

## Chapter 2.2

### Nondeterministic Finite Automata (or Acceptor)

#### Definition

An NFA= $(Q, \Sigma, \delta, q_0, F)$

where

$Q$  is finite set of states

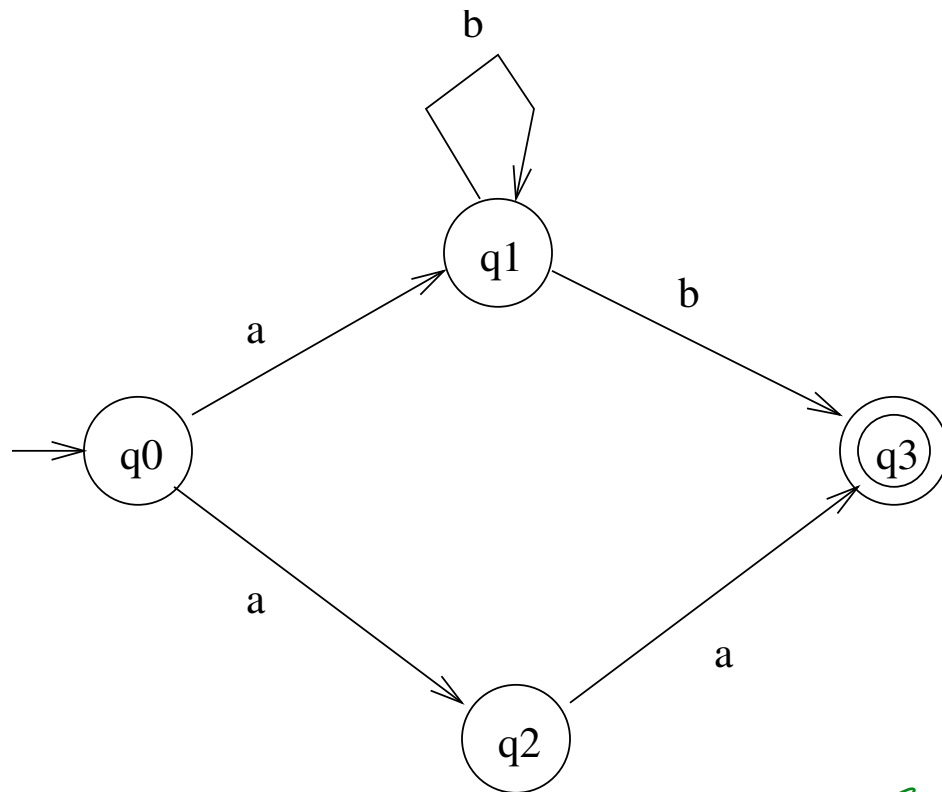
$\Sigma$  is tape (input) alphabet

$q_0$  is initial state

$F \subseteq Q$  is set of final states.

$\delta: Q \times (\Sigma \cup \{\lambda\}) \rightarrow 2^Q$

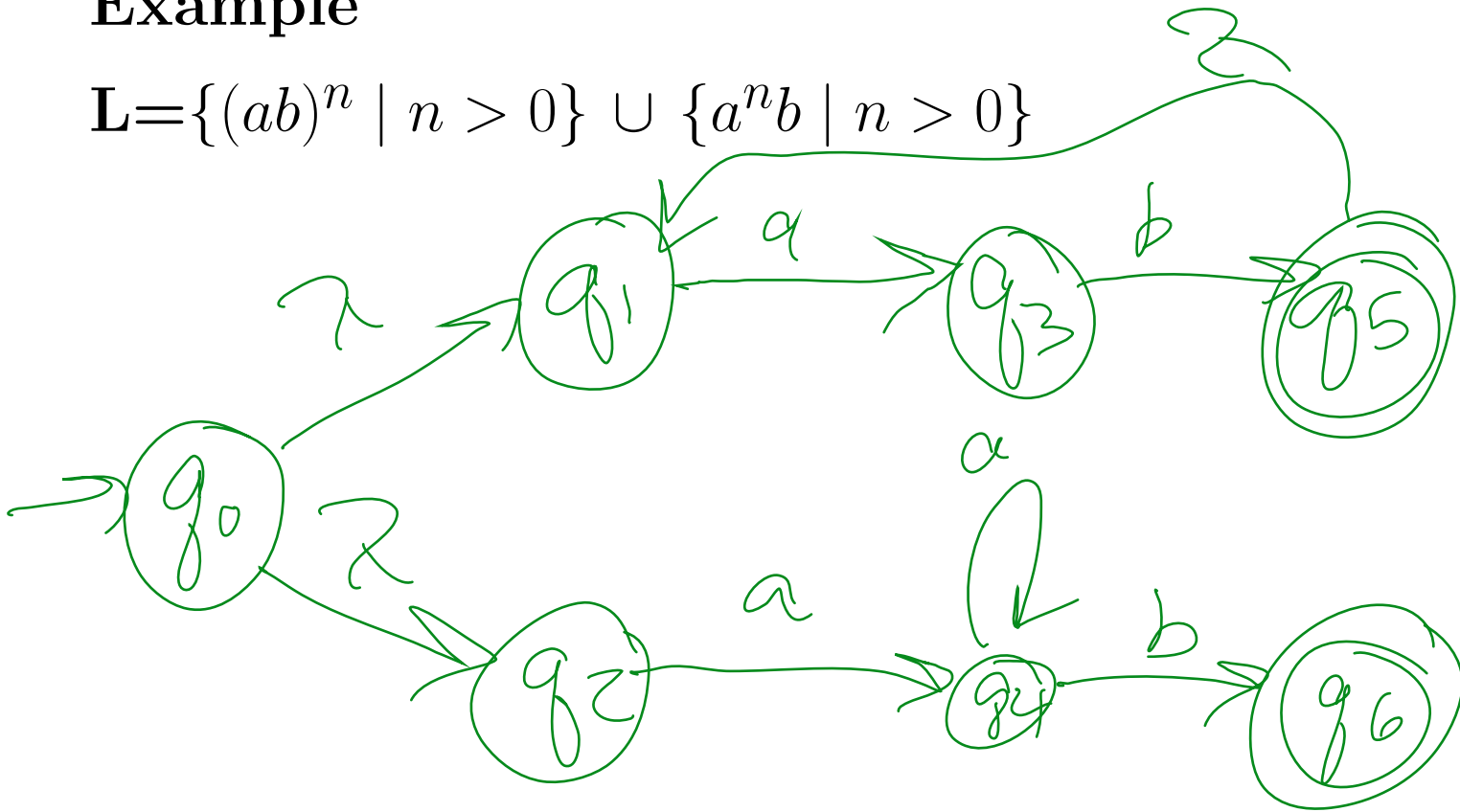
## Example



**Note:** In this example  $\delta(q_0, a) = \{q_1, q_2\}$   
**L** =  $\{aa\} \cup \{ab^n \mid n \geq 0\}$

## Example

$$L = \{(ab)^n \mid n > 0\} \cup \{a^n b \mid n > 0\}$$



$abab \in L$        $b, \lambda \notin L$

**Definition**  $q_j \in \delta^*(q_i, w)$  if and only if there is a walk from  $q_i$  to  $q_j$  labeled  $w$ .

**Example** From previous example:

$$\delta^*(q_0, ab) = \{q_1, q_5, q_6\}$$

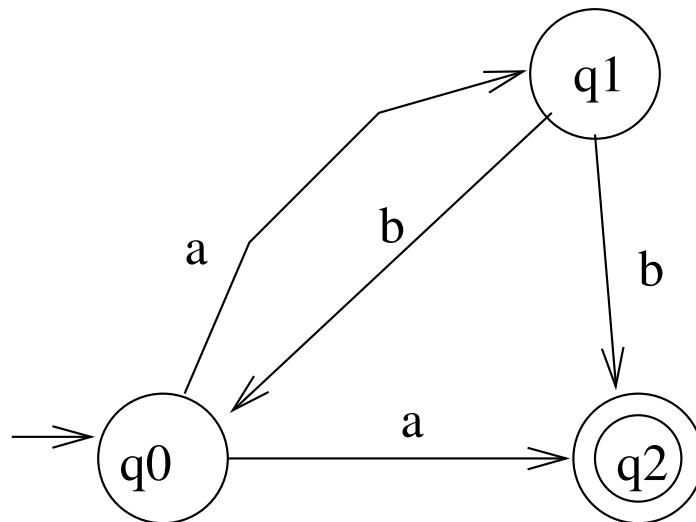
$$\delta^*(q_0, aba) = \{q_3\}$$

**Definition:** For an NFA  $M$ ,

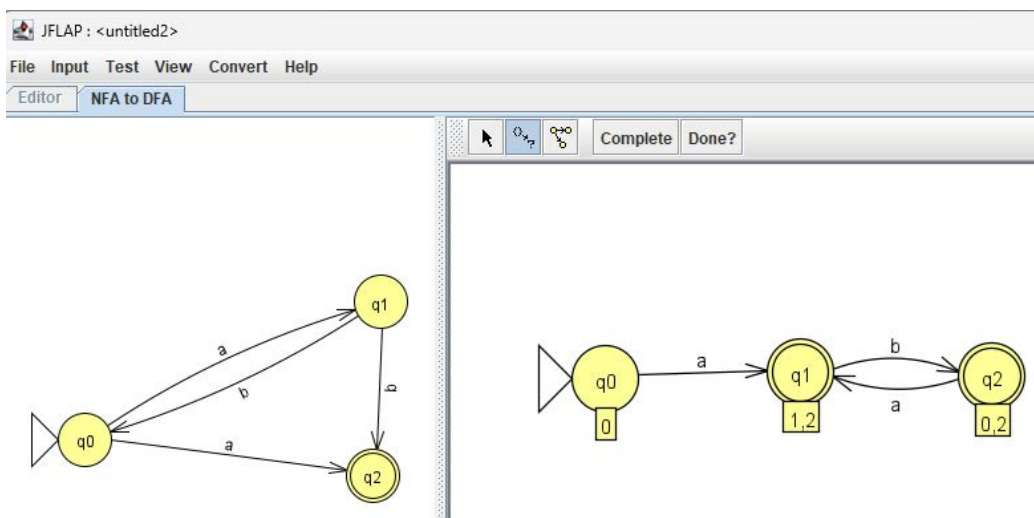
$$L(M) = \{w \in \Sigma^* \mid \delta^*(q_0, w) \cap F \neq \emptyset\}$$

## 2.3 NFA vs. DFA: Which is more powerful?

Example:



Convert NFA to DFA:



### Theorem Given an NFA

$M_N = (Q_N, \Sigma, \delta_N, q_0, F_N)$ , then there exists a DFA  $M_D = (Q_D, \Sigma, \delta_D, q_0, F_D)$  such that  $L(M_N) = L(M_D)$ .

### Proof:

We need to define  $M_D$  based on  $M_N$ .

$$Q_D = 2^{Q_N}$$

$$F_D = \{Q \in Q_D \mid \exists q_i \in Q \text{ with } q_i \in F_N\}$$

$$\delta_D : Q_D \times \Sigma \rightarrow Q_D$$

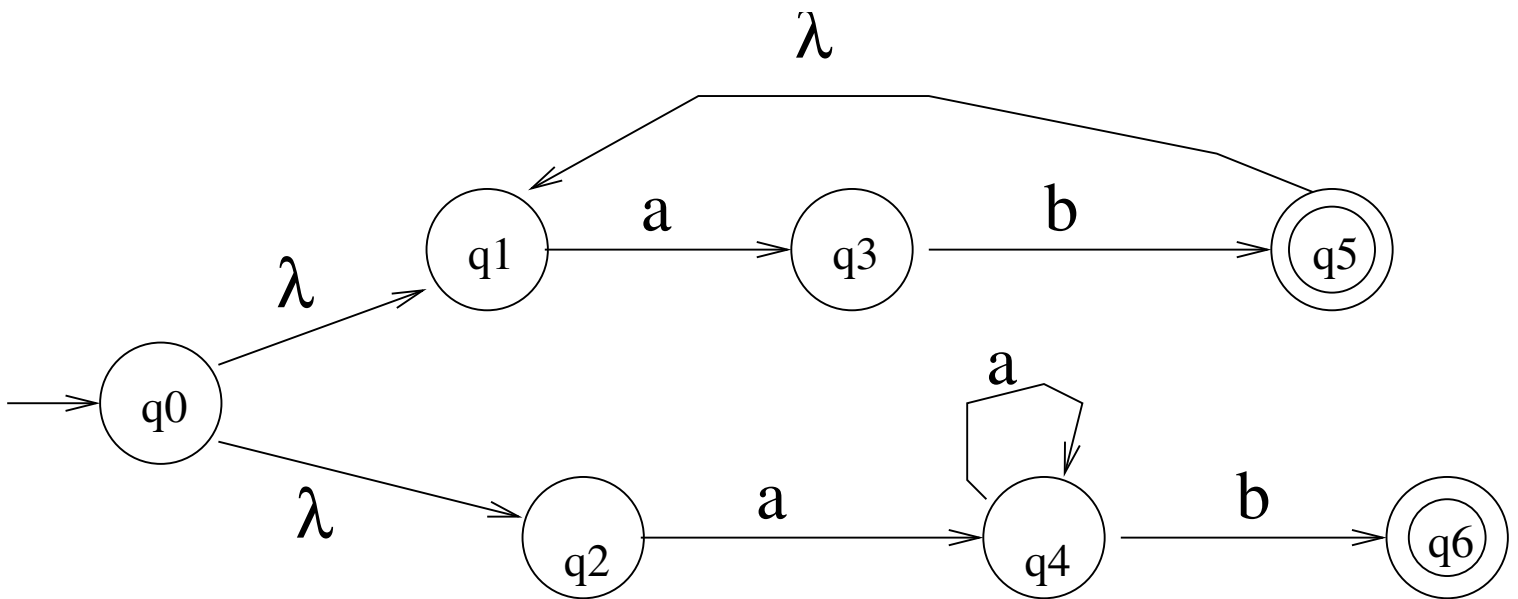


## Algorithm to construct $M_D$

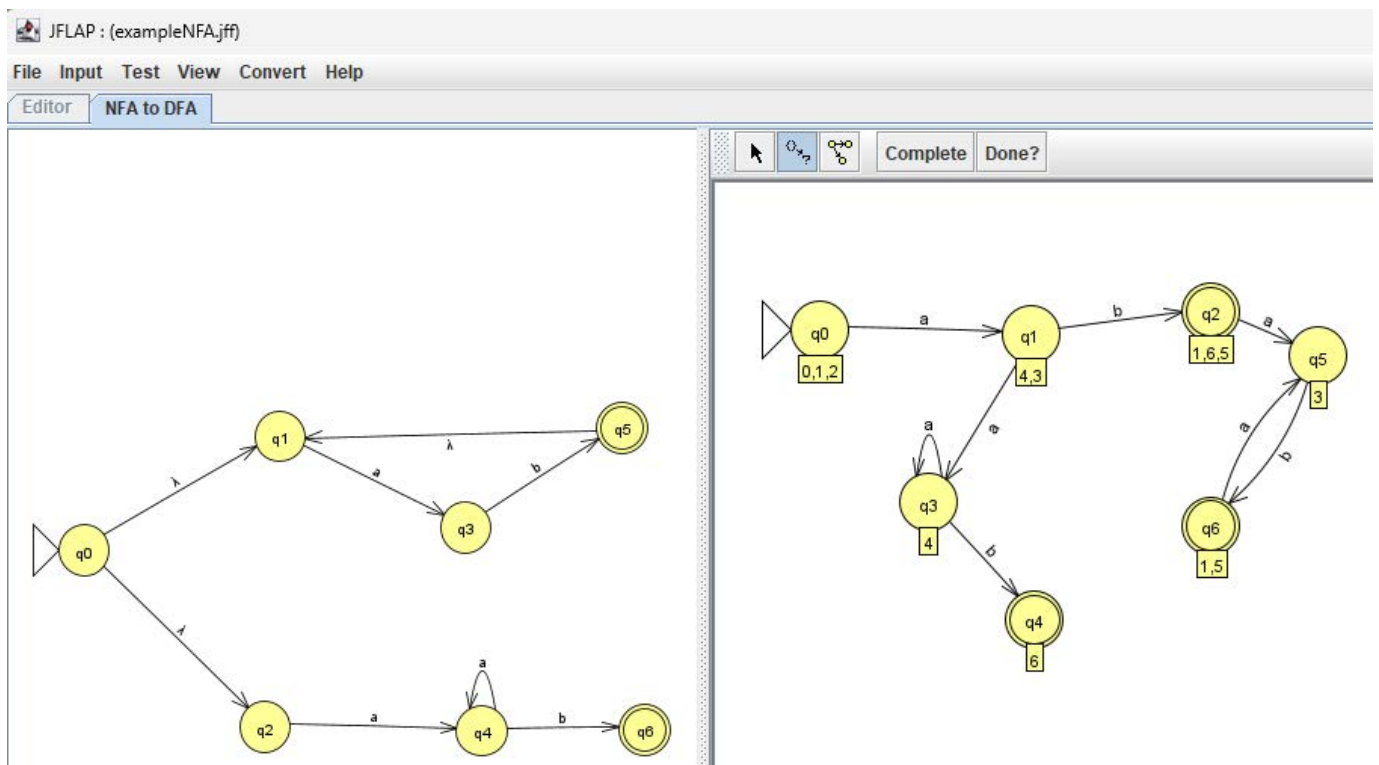
1. start state is  $\{q_0\} \cup \text{closure}(q_0)$
2. While can add an edge
  - (a) Choose a state  $A = \{q_i, q_j, \dots, q_k\}$  with missing edge for  $a \in \Sigma$
  - (b) Compute  $B = \delta^*(q_i, a) \cup \delta^*(q_j, a) \cup \dots \cup \delta^*(q_k, a)$
  - (c) Add state B if it doesn't exist
  - (d) add edge from A to B with label a
3. Identify final states
4. if  $\lambda \in L(M_N)$  then make the start state final.

← include any states reachable by  $\lambda$

# Example:



Convert NFA to DFA:



## Properties and Proving - Problem 1

Consider the property

Replace\_one\_a\_with\_b or R1awb for short. If  $L$  is a regular, prove  $R1awb(L)$  is regular.

The property R1awb applied to a language  $L$  replaces one  $a$  in each string with a  $b$ . If a string does not have an  $a$ , then the string is not in  $R1awb(L)$ .

Example 1: Consider  $L = \{aaab, bbaa\}$

$R1awb(L) = \{baab, abab, aabb, bbba, bbab\}$

Example 2: Consider  $\Sigma = \{a, b\}$ ,  $L = \{w \in \Sigma^* \mid w \text{ has an even number of } a\text{'s and an even number of } b\text{'s}\}$

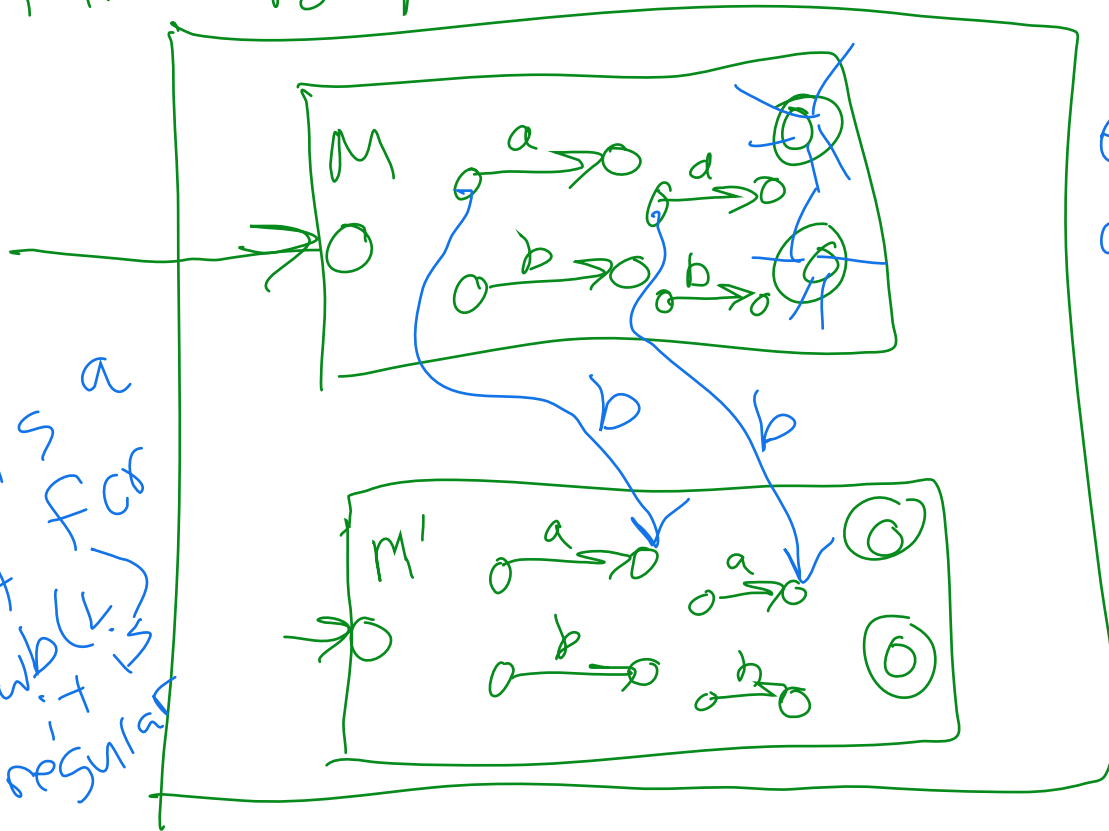
$R1awb(L) = \{w \in \Sigma^* \mid w \text{ has an odd number of } a\text{'s and an odd number of } b\text{'s}\}$

Proof:

Assume  $L$  is regular  
 $\Rightarrow$  DFA  $M$

$M'$  is a copy of  $M$

this is a  
DFA for  
Rawbl.  
it is  
so regular



every a  
arc  
replace  
w/ b to  
same  
place  
in  $M'$

See handout on January 15 on our calendar page on how to write this up as a proof.

STOPPED HERE

## Properties and Proving - Problem 2

Consider the property

Truncate\_all\_preceding\_b's or

TruncPreb for short. If  $L$  is a regular, prove  $\text{TruncPreb}(L)$  is regular.

The property TruncPreb applied to a language  $L$  removes all preceding b's in each string. If a string does not have an preceding b, then the string is the same in  $\text{TruncPreb}(L)$ .

Example 1: Consider  $L = \{aaab, bbaa\}$

$\text{TruncPreb}(L) = \{aaab, aa\}$

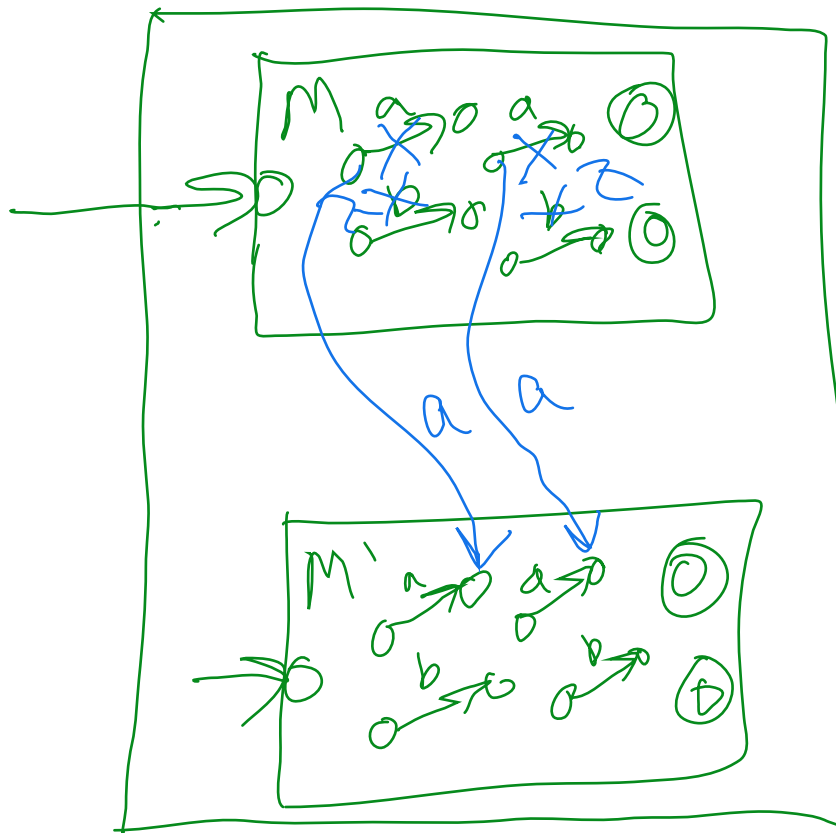
Example 2: Consider  $L =$

$\{(bba)^n \mid n > 0\}$

$\text{TruncPreb}(L) = \{a(bba)^n \mid n \geq 0\}$

Proof:

$L$  is regular  $\rightarrow \exists$  DFA  $M$  s.t.  $L(M) = L$



# Minimizing Number of states in DFA

Why?

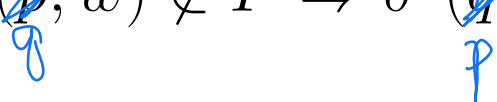
Algorithm

- Identify states that are indistinguishable

These states form a new state

**Definition** Two states  $p$  and  $q$  are indistinguishable if for all  $w \in \Sigma^*$

$$\delta^*(q, w) \in F \Rightarrow \delta^*(p, w) \in F$$

$$\delta^*(\cancel{p}, w) \notin F \Rightarrow \delta^*(\cancel{q}, w) \notin F$$


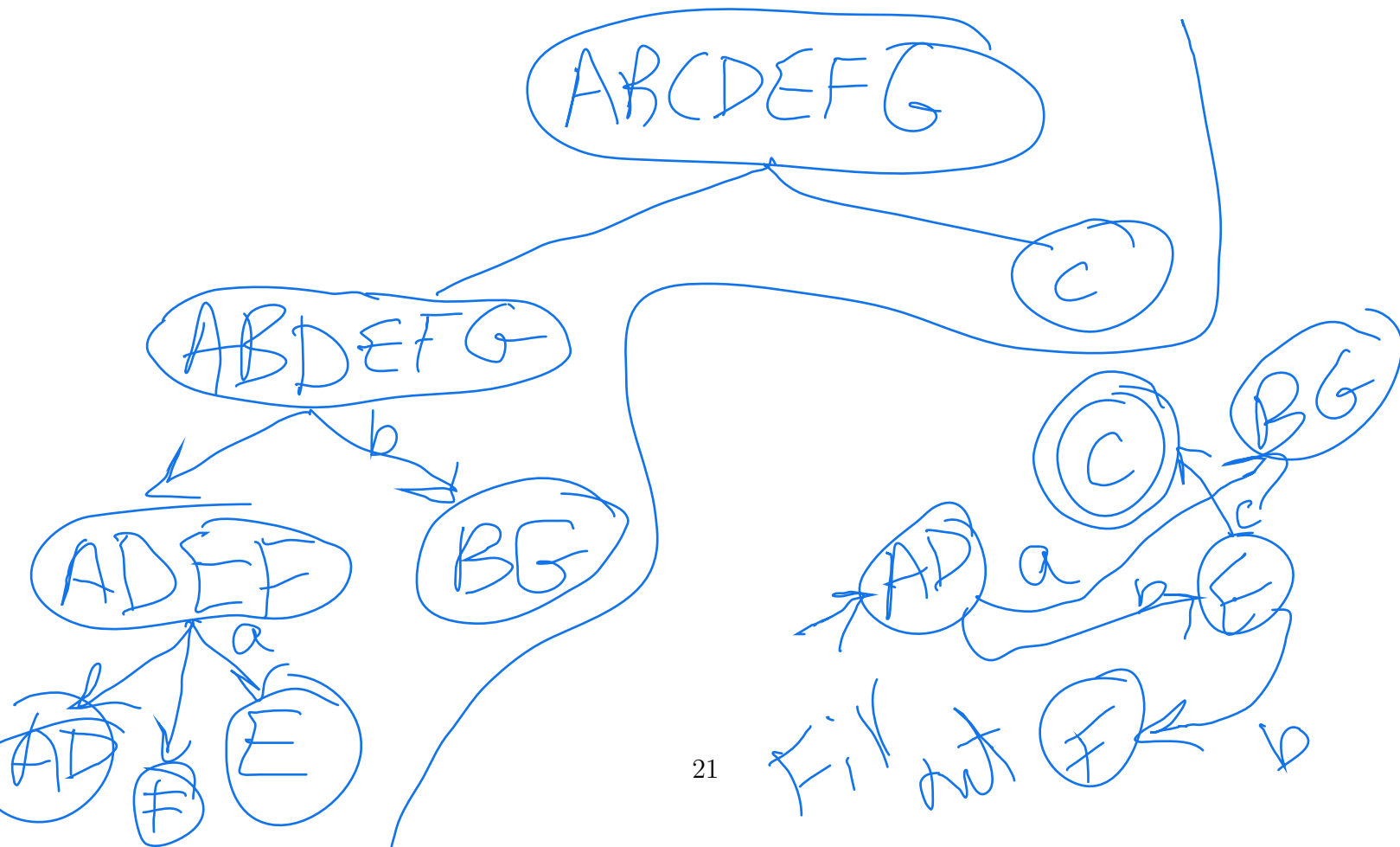
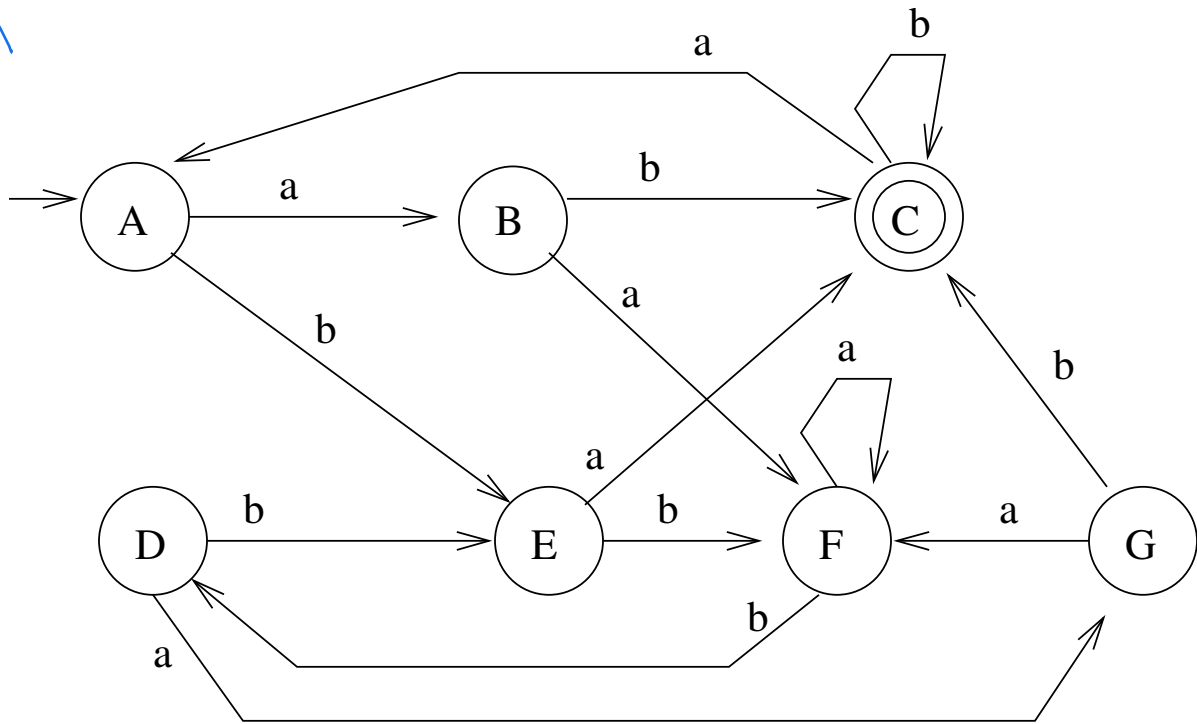
**Definition** Two states  $p$  and  $q$  are distinguishable if  $\exists w \in \Sigma^*$  s.t.

$$\delta^*(q, w) \in F \Rightarrow \delta^*(p, w) \notin F \text{ OR}$$

$$\delta^*(q, w) \notin F \Rightarrow \delta^*(p, w) \in F$$

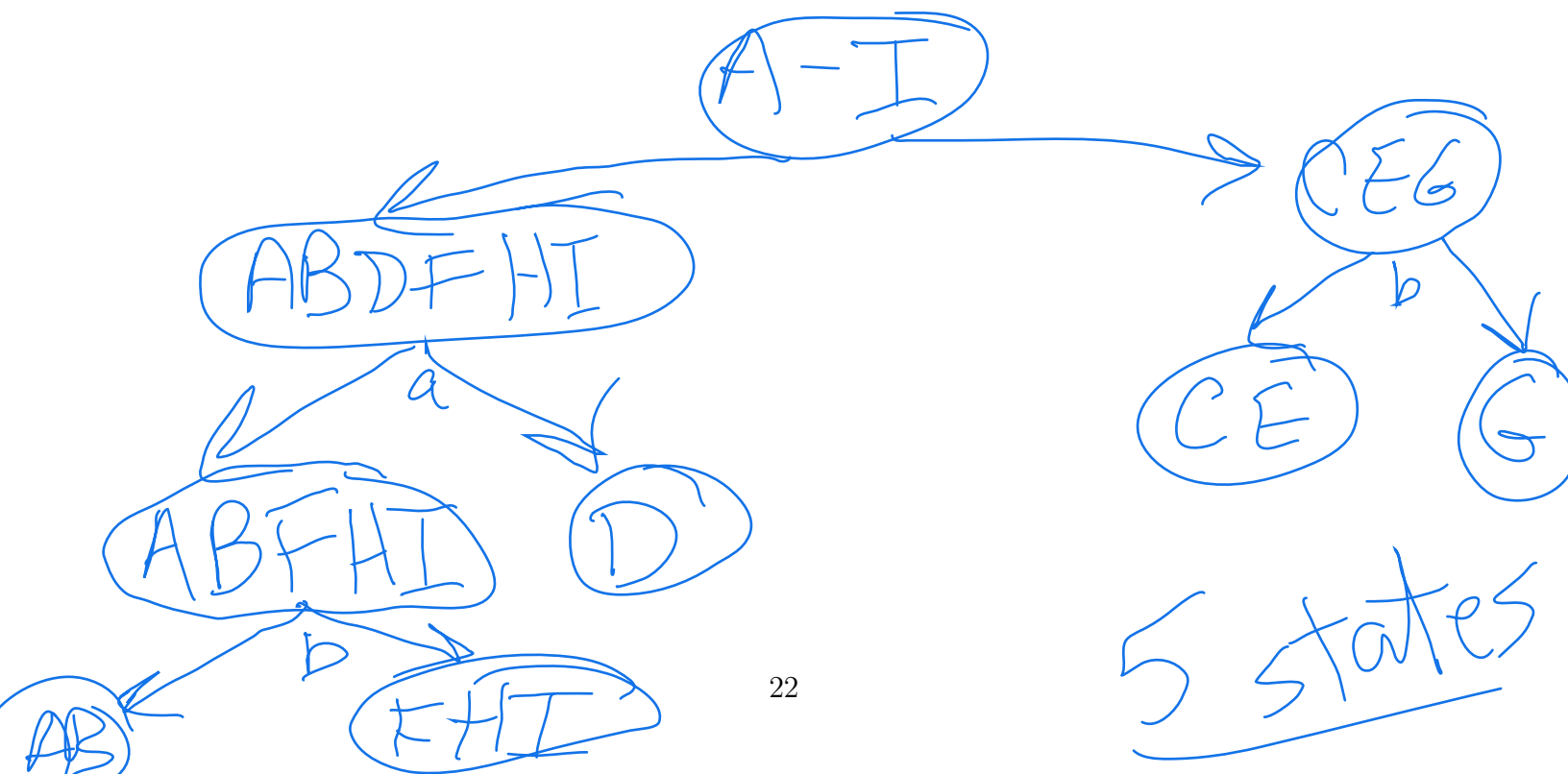
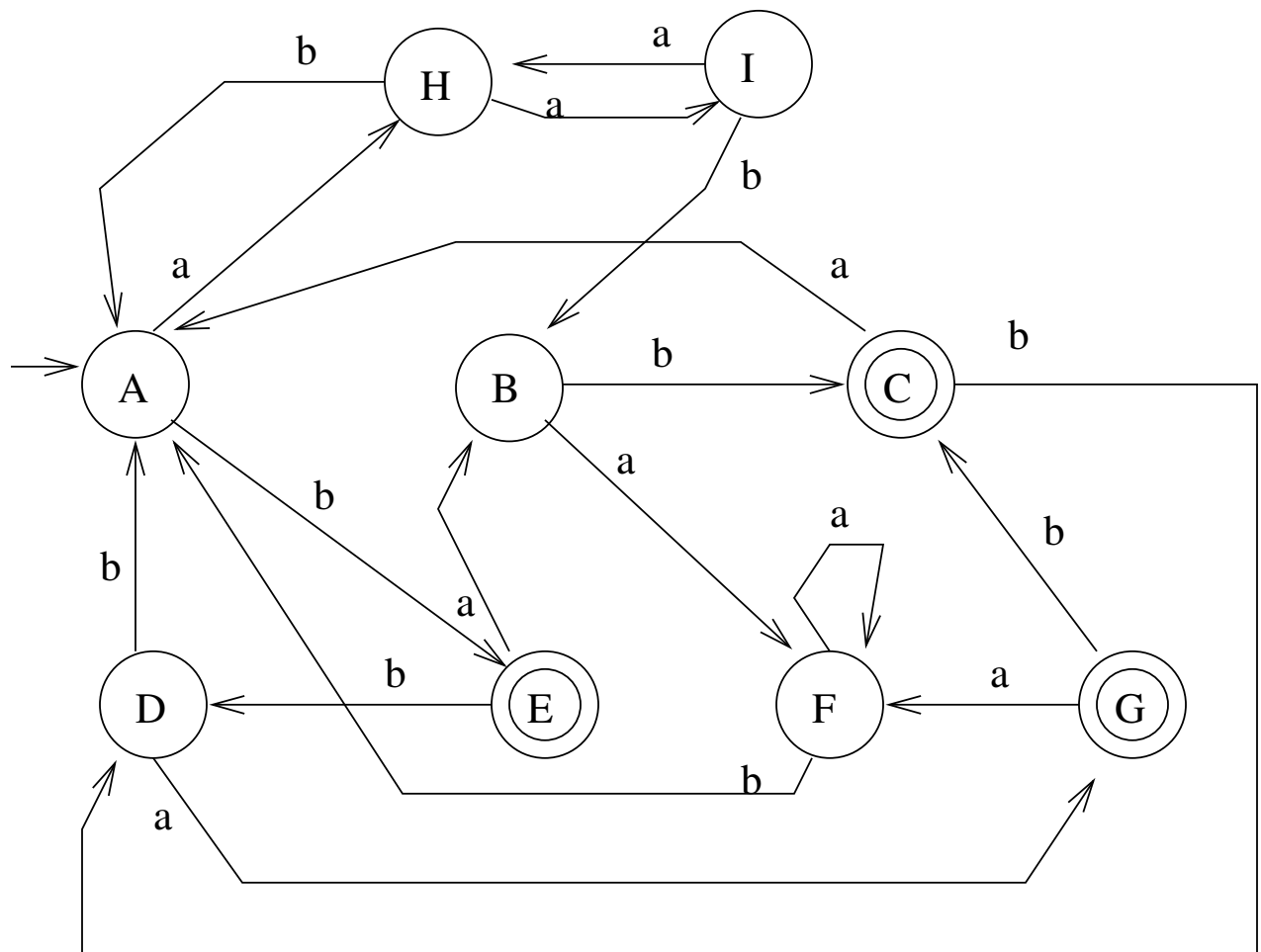
**Example:**

DFA

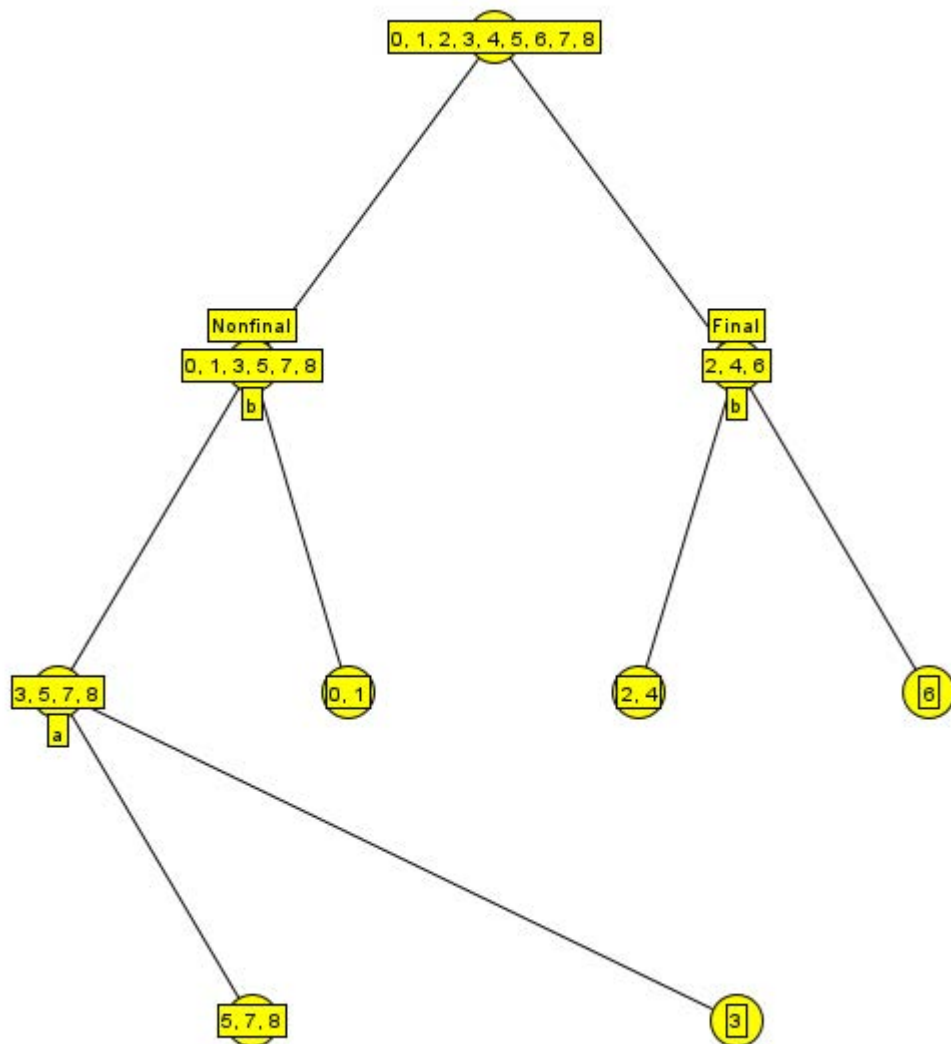
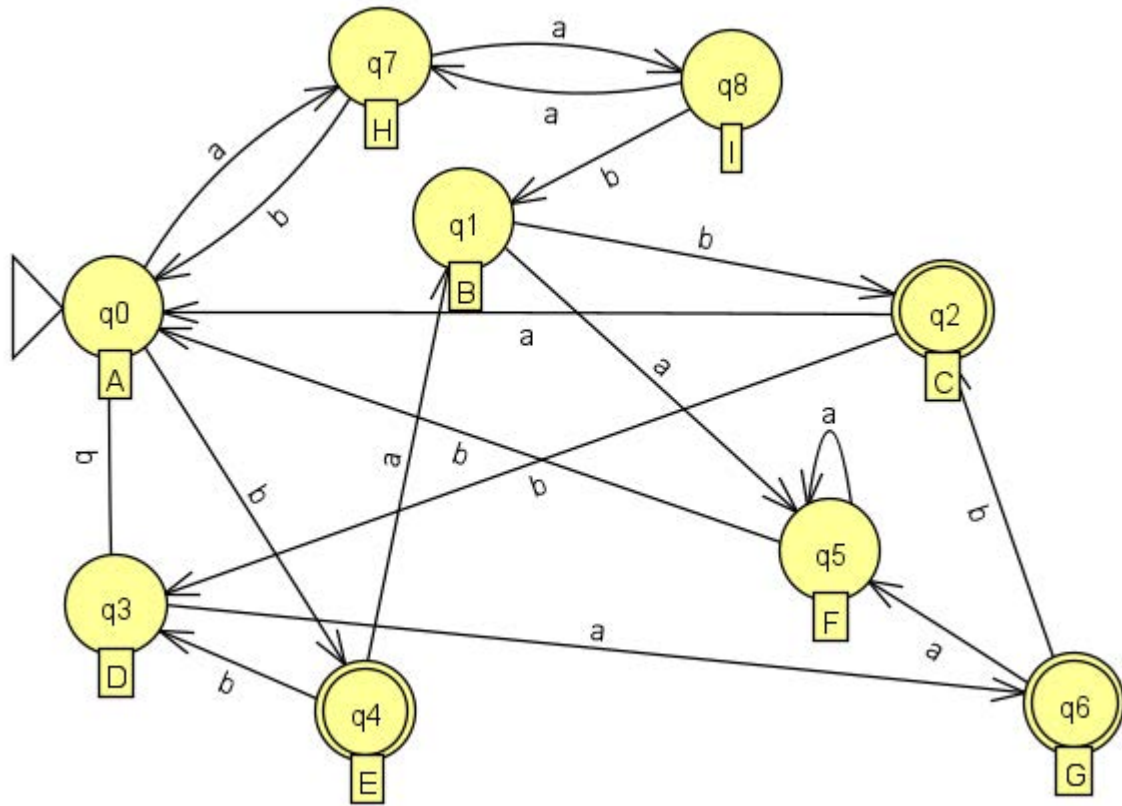




**Example:**



Same problem on JFLAP



Build the minimal state DFA from the tree

